

# SYNTHESIZABLE SEQUENCE AND PRINCIPLE SUBMODULES IN SCHWARTZ MODULE

N.F. ABUZYAROVA

**Abstract.** We consider a module of entire functions of exponential type and polynomial growth on the real axis, that is, the Schwarz module with a non-metrizable locally convex topology. In relation with the problem of spectral synthesis for the differentiation operator in the space  $C^\infty(a; b)$ , we study principle submodules in this module. In particular, we find out what functions, apart of products of the polynomials on the generating function, are contained in a principle submodule. The main results of the work is as follows: despite the topology in the Schwarz module is non-metrizable, the principle submodule coincides with a sequential closure of the set of products of its generating function by polynomials. As a corollary of the main result we prove a weight criterion of a weak localizability of the principle submodule. Another corollary concerns a notion of “synthesizable sequence” introduced recently by A. Baranov and Yu. Belov. It follows from a criterion of the synthesizable sequence obtained by these authors that a synthesizable sequence is necessary a zero set of a weakly localizable principle submodule. In the work we give a positive answer to a natural question on the validity of the inverse statement. Namely, we prove that the weak set of a weakly localizable principle submodule is a synthesizable sequence.

**Keywords:** entire functions, Fourier-Laplace transform, Schwarz space, local description of submodules, spectral synthesis.

**Mathematics Subject Classification:** 30D15, 30H99, 42A38, 47E05

## 1. INTRODUCTION

Given a finite or an infinite interval  $(a; b) \subseteq \mathbb{R}$ , we denote by  $C^\infty(a; b)$  the set of all infinitely differentiable functions equipped with a standard metrizable topology, while its strongly dual space consisting of all distributions compactly supported in  $(a; b)$  is denoted by the symbol  $\mathcal{E}'(a; b)$ .

Let  $W \subset \mathcal{E}(a; b)$  be a closed subspace invariant with respect to the differentiation operator  $D = \frac{d}{dt}$ , or shortly, a *D-invariant subspace*. In work [1], the study of the problem on spectral synthesis was initiated and in particular, it was established that the spectrum  $\sigma_W$  of the restriction of the differentiation operator  $D : W \rightarrow W$  either coincides with entire complex plane or is discrete, that is, is an infinite or finite, probably, empty sequence of multiple points in  $\mathbb{C}$  [1, Thm. 2.1].

For a non-empty relatively closed segment  $I \subset (a; b)$ , the subspace  $W_I$  is defined by the formula

$$W_I = \{f \in \mathcal{E}(a; b) : f = 0 \text{ on } I\}. \quad (1.1)$$

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Each  $D$ -invariant subspace  $W$  possesses a “residual” subspace  $W_{res} \subset W$  being the maximal subspace of form (1.1) contained in  $W$  [1, Thm. 4.1]. We denote a corresponding segment by  $I_W$  and we call it *residual* segment of the subspace  $W$ , that is,  $W_{res} = W_{I_W}$ .

The existence of  $D$ -invariant subspaces of form (1.1) led the authors of work [1] to the following formulation of the problem on spectral synthesis: to find out under which conditions the  $D$ -invariant subspace  $W$  with a discrete spectrum satisfies the representation

$$W = \overline{W_{I_W} + \text{span}(\text{Exp } W)} \quad (1.2)$$

Here  $\text{Exp } W$  is the set of all exponential monomials contained in  $W$ .

It turned out that in the case of a finite (in particular, empty) spectrum  $\sigma_W$ , the subspace  $W$  is always of form (1.2), while if the spectrum  $\sigma_W$  is discrete and infinite, then the answer depends on a relation between quantities  $|I_W|$  and  $2\pi D_{BM}(\Lambda)$ , where  $|I_W|$  is the length of the residual segment,  $D_{BM}(\Lambda)$  is the Beurling-Malliavin density of the set  $\Lambda = i\sigma_W$ :

- 1) if  $|I_W| < 2\pi D_{BM}(\Lambda)$ , then  $W = \mathcal{E}(a; b)$ , see [2, Rem. 3], [3, Thm. 1.3];
- 2) if  $|I_W| = 2\pi D_{BM}(\Lambda)$ , then there exist both  $D$ -invariant subspaces admitting spectral synthesis in a weak<sup>1</sup> sense (1.2) [4],[5] and subspaces not possessing this property [3], [6];
- 3) if  $|I_W| > 2\pi D_{BM}(\Lambda)$ , then  $D$ -invariant subspace with a discrete spectrum  $\sigma_W = i\Lambda$  and a residual segment  $I_W$  admits a weak spectral synthesis (1.2) [2, Cor. 3], [3, Thm. 1.1].

The latter of the three above formulated results can be interpreted as follows: given a complex sequence  $\Lambda$  and a relatively closed in  $(a; b)$  segment  $I$  such that  $|I| > 2\pi D_{BM}(\Lambda)$ , there exists a unique  $D$ -invariant subspace  $W \subset \mathcal{E}(a; b)$  with a discrete spectrum  $\sigma_W = -i\Lambda$  and a residual segment  $I_W = I$  and this subspace is of form (1.2).

In view of this interpretation, the authors of work [7] called a sequence  $\Lambda \subset \mathbb{C}$  with  $D_{BM}(\Lambda) < +\infty$  *syntheziable* if a  $D$ -invariant subspace with a spectrum  $-(i\Lambda)$  and a residual segment  $[-\pi D_{BM}(\Lambda); \pi D_{BM}(\Lambda)]$  is unique; in this case it is of form (1.2). In that work a complete description of synthesizable sequences was provided. In particular, it was shown that the if the system of exponential monomials  $\text{Exp}_\Lambda$  constructed by the sequence  $-(i\Lambda)$  is complete or it has a finite defect in the space  $L^2(-\pi D_{BM}(\Lambda); \pi D_{BM}(\Lambda))$ , then  $\Lambda$  is a synthesizable sequence [7, Prop. 3.2].

If the system  $\text{Exp}_\Lambda$  has an infinite defect in  $L^2(-\pi D_{BM}(\Lambda); \pi D_{BM}(\Lambda))$ , then the syntesizability of  $\Lambda$  is determined by the conditions of the following criterion [7, Thm. 1.3]:

**Theorem A.** *A sequence  $\Lambda \subset \mathbb{C}$  is synthesizable if and only if it is a zero set of some function  $\varphi \in \mathcal{P}_0(\mathbb{R})$  and*

$$\dim (\mathcal{H}(\varphi) \ominus H_{pol}) \leq 1.$$

In the formulation of the above theorem we have employed the following notations:

$\mathcal{P}_0(\mathbb{R})$  is the set of all entire functions  $\varphi$  of exponential type, the indicators of which satisfy the estimates

$$h_\varphi(\arg z) \leq C_\varphi |\text{Im } z|, \quad z \in \mathbb{C},$$

and on the real axis the identity holds:

$$|\varphi(x)| = o(|x|^{-n}), \quad |x| \rightarrow \infty, \quad n = 1, 2, \dots;$$

$\mathcal{H}(\varphi)$  is a Hilbert space consisting of all entire functions  $\omega$  of minimal type at order 1 such that

$$\int_{-\infty}^{\infty} |\omega(x)\varphi(x)|^2 dx < +\infty,$$

equipped with the scalar product

$$(\omega_1, \omega_2) = \int_{\mathbb{R}} \omega_1(x) \overline{\omega_2(x)} |\varphi(x)|^2 dx, \quad \omega_1, \omega_2 \in \mathcal{H}(\varphi), \quad (1.3)$$

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<sup>1</sup>“weak” with respect to the classical spectral synthesis when  $W = \overline{\text{span}(\text{Exp } W)}$

$H_{pol}$  is the closure of the set of polynomials in  $\mathcal{H}(\varphi)$ .

It is clear that the synthesizability of a sequence  $\Lambda$  is a *sufficient* condition for admitting a weak spectral synthesis by a  $D$ -invariant subspace with a discrete spectrum  $-(i\Lambda)$  and a residual segment of length  $2\pi D_{BM}(\Lambda)$ . This gives rise to a question: when the synthesizability of a sequence  $\Lambda$  is also a *necessary* condition for admittance of a weak spectral synthesis by a  $D$ -invariant subspace with the spectrum  $-(i\Lambda)$  and a residual segment equalling to  $[-\pi D_{BM}(\Lambda); \pi D_{BM}(\Lambda)]$  (or to any other fixed segment of the length  $2\pi D_{BM}(\Lambda)$ )?

One of the aims of the present work is to answer this question.

Earlier for studying  $D$ -invariant subspaces we employed effectively the scheme of dual spaces reducing the problem on subspaces to equivalent problems on closed submodules in a special module of entire functions  $\mathcal{P}(a; b)$ , see [2], [4], [8]. We are going to employ this scheme in the present work and this is why we describe briefly the duality between  $D$ -invariant subspaces and submodules.

For each element  $S \in \mathcal{E}'(a; b)$  we introduce its Fourier-Laplace transform

$$\mathcal{F}(S)(z) = S(e^{-itz}), \quad z \in \mathbb{C},$$

which is an entire function of a completely regular growth at order 1. We denote it by  $\varphi$ . The indicator diagram of the function  $\varphi$  is the segment of the imaginary axis

$$i[c_\varphi; d_\varphi] \subset i(a; b),$$

where  $c_\varphi = -h_\varphi(-\pi/2)$ ,  $d_\varphi = h_\varphi(\pi/2)$ , and  $h_\varphi$  is the indicator of the function  $\varphi$ .

We let  $\mathcal{P}(a; b) = \mathcal{F}(\mathcal{E}'(a; b))$ . It is well known that  $\mathcal{P}(a; b) = \bigcup P_k$ , where  $\{P_k\}$  is an increasing sequence of Banach spaces, each being the set of all entire functions  $\varphi$  with a finite norm

$$\|\varphi\|_k = \sup_{z \in \mathbb{C}} \frac{|\varphi(z)|}{(1 + |z|)^k \exp(b_k y^+ - a_k y^-)}, \quad y^\pm = \max\{0, \pm y\}, \quad z = x + iy, \quad (1.4)$$

$[a_1; b_1] \subseteq [a_2; b_2] \subseteq \dots$  is a sequence of segments exhausting the interval  $(a; b)$ . Equipping the set  $\mathcal{P}(a; b)$  by a locally convex topology of the inductive limit of the sequence  $\{P_k\}$ , we obtain a space of type  $(LN^*)$ , see [9], isomorphic to  $\mathcal{E}'(a; b)$  [10, Thm. 7.3.1]. We note that according to the same theorem,  $\mathcal{P}_0(\mathbb{R}) = \mathcal{F}(C_0^\infty(\mathbb{R}))$ .

In the space  $\mathcal{P}(a; b)$ , the operation of multiplication by an independent variable  $z$  is continuous and this is why  $\mathcal{P}(a; b)$  is a topological module over the ring of polynomials  $\mathbb{C}[z]$  called *Schwartz module*.

A *closed submodule*  $J \subset \mathcal{P}(a; b)$  is a closed subspace satisfying also the condition  $zJ \subset J$ . In what follows, for the sake of brevity, we shall say “*submodule*” meaning a closed submodule.

We recall a series of notions characterising submodules, see [11], [12]. An *indicator segment* of a submodule  $J$  is the segment  $[c_J; d_J] \subset \overline{\mathbb{R}}$ , where  $c_J = \inf_{\varphi \in J} c_\varphi$ ,  $d_J = \sup_{\varphi \in J} d_\varphi$ .

A *divisor of a submodule*  $J \subset \mathcal{P}(a; b)$  is a function  $n_J(\lambda) = \min_{\varphi \in J} n_\varphi(\lambda)$ ,  $\lambda \in \mathbb{C}$ , where  $n_\varphi(\lambda)$  is a *divisor of the function*  $\varphi \in J$ :

$$n_\varphi(\lambda) = \begin{cases} 0 & \text{if } \varphi(\lambda) \neq 0, \\ m & \text{if } \lambda \text{ is a zero of } \varphi \text{ of multiplicity } m, \end{cases}$$

and

$$\Lambda_\varphi = \{\lambda \in \mathbb{C} : n_\varphi(\lambda) > 0\}, \quad \Lambda_J = \{\lambda \in \mathbb{C} : n_J(\lambda) > 0\}$$

are *zero sets* of the function  $\varphi$  and submodule  $J$ , respectively, and each point  $\lambda$  is repeated according its multiplicity.

The submodules of the module  $\mathcal{P}(a; b)$  are dual to  $D$ -invariant subspaces of the space  $\mathcal{E}(a; b)$ . Namely, *there exists an one-to-one correspondence between the set of closed submodules  $\{J\}$  of the module  $\mathcal{P}(a; b)$  and the of  $D$ -invariant subspaces  $\{W\}$  of the space  $\mathcal{E}(a; b)$ . This one-to-one*

correspondence is defined by the following rule:  $J \longleftrightarrow W$  if and only if  $J = \mathcal{F}(W^0)$ , where a closed subspace  $W^0 \subset \mathcal{E}'(a; b)$  consists of all distributions  $S \in \mathcal{E}'(a; b)$  annihilating  $W$ ; here

$$\text{Exp } W = \{t^j e^{-i\lambda_k t}, \quad j = 0, \dots, m_k - 1, \quad n_J(\lambda_k) = m_k > 0\},$$

and the points  $c_J$  and  $d_J$  serve as boundaries for the residual segment  $I_W$ , see [2], [12]. The above formulated fact is called the *duality principle*.

A submodule  $J \subset \mathcal{P}(a; b)$  is weakly localizable if for each function  $\varphi \in \mathcal{P}(a; b)$  the conditions

- 1)  $n_\varphi(z) \geq n_J(z)$  for all  $z \in \mathbb{C}$ ,
- 2) the indicator diagram of the function  $\varphi$  is contained in the set  $i[c_J; d_J]$ ;

imply that  $\varphi \in J$ .

A submodule  $J$  is called *stable* if for each  $\lambda \in \mathbb{C}$  an implication holds:

$$\varphi \in J, \quad n_\varphi(\lambda) > n_J(\lambda) \implies \frac{\varphi}{z - \lambda} \in J.$$

A  $D$ -invariant subspace  $W$  admits a weak spectral synthesis if and only if its annihilating submodule  $\mathcal{J} = \mathcal{F}(W^0)$  is weakly localizable, see [2], [4].

A  $D$ -invariant subspace  $W$  has a discrete spectrum if and only if its annihilating submodule  $\mathcal{J} = \mathcal{F}(W^0)$  is stable [1, Prop. 3.1], [12, Prop. 2].

A *principle submodule*  $J_\varphi$  generated by a function  $\varphi \in \mathcal{P}(a; b)$  is defined as a closure of the set

$$\text{Pol}_\varphi = \{p\varphi : \quad p \in \mathbb{C}[z]\}$$

in  $\mathcal{P}(a; b)$ . A principle submodule is always stable [12].

Let, as above,  $\Lambda$  be a complex sequence with a finite Beurling-Malliavin density;  $W \subset \mathcal{E}(\mathbb{R})$  be a  $D$ -invariant subspace with the spectrum  $\sigma_W = -i\Lambda$  and the residual segment  $I_W = [-\pi D_{BM}(\Lambda); \pi D_{BM}(\Lambda)]$ . We observe that if the residual segment  $I_W$  is given, the corresponding subspace  $W$  can be considered in each space  $\mathcal{E}(a; b)$  such that  $I_W \subset (a; b)$ .

We assume first that the system  $\text{Exp}_\Lambda$  is either complete or have a finite defect in the space  $L^2(-\pi D_{BM}(\Lambda); \pi D_{BM}(\Lambda))$ . It is easy to make sure that this is equivalent to the existence of the function  $\varphi \in \mathcal{P}(\mathbb{R}) \setminus \mathcal{P}_0(\mathbb{R})$ , with the zero set  $\Lambda_\varphi = \Lambda$  and the indicator diagram  $[-i\pi D_{BM}(\Lambda); i\pi D_{BM}(\Lambda)]$ . By Theorem 2 in work [8], this implies that the annihilator submodule of the subspace  $W$  is the principle submodule  $\mathcal{J}_\varphi$  with generator  $\varphi$ . Moreover, in this case,

$$\mathcal{J}(\varphi) = \mathcal{J}_\varphi = \{p\varphi : \quad p \in \mathbb{C}[z]\}, \quad (1.5)$$

where the symbol  $\mathcal{J}(\varphi)$  denotes a weakly localizable submodule with the zero set  $\Lambda$  and the indicator segment  $[-i\pi D_{BM}(\Lambda); i\pi D_{BM}(\Lambda)]$ .

By the duality between  $D$ -invariant subspaces and submodules and by the said above Theorem A we conclude that

if the system  $\text{Exp}_\Lambda$  is complete or has a finite defect in the space

$$L^2(-\pi D_{BM}(\Lambda); \pi D_{BM}(\Lambda)),$$

then  $W$  admits a weak spectral synthesis and  $\Lambda$  is a synthesizable sequence.

Now we consider the case when the exponential system  $\text{Exp}_\Lambda$  has an infinite defect in  $L^2(-\pi D_{BM}(\Lambda); \pi D_{BM}(\Lambda))$ . In this case it follows from Theorem A and the duality that for the synthesizability of  $\Lambda$ , it is necessary that the space  $W$  is of the form

$$W = W_S = \{f \in \mathcal{E}(\mathbb{R}) : \quad S(f^{(k)}) = 0 \quad \text{for all } k = 0, 1, \dots\}, \quad (1.6)$$

where  $S \in \mathcal{E}'(\mathbb{R})$ , and  $\varphi = \mathcal{F}(S) \in \mathcal{P}_0(\mathbb{R})$ ,  $\Lambda_\varphi = \Lambda$ , while the indicator diagram  $\varphi$  is  $[-i\pi D_{BM}(\Lambda); i\pi D_{BM}(\Lambda)]$ . Then  $\mathcal{F}(W_S^0) = \mathcal{J}_\varphi$  and the admittance of the weak spectral synthesis for  $W_S$  is equivalent to the weak localizability of  $\mathcal{J}_\varphi$ :  $\mathcal{J}_\varphi = \mathcal{J}(\varphi)$ . In other words, it

is equivalent to the fact that  $\mathcal{J}(\varphi)$  is the closure of the set  $\text{Pol}_\varphi$  in the topology of the space  $\mathcal{P}(\mathbb{R})$ .

On the other hand, in the considered case, if  $\Lambda$  is a synthesizable sequence, then the submodule  $\mathcal{J}(\varphi)$  coincides with a *sequential* closure of the set  $\text{Pol}_\varphi$ , that is, with the set of all limits of *countable* sequences in  $\text{Pol}_\varphi$  converging in the topology of the space  $\mathcal{P}(\mathbb{R})$ ; this set is indicated by the symbol  $\mathcal{J}_{\varphi, \text{seq}}$ . This is implied by Theorem A in view of the remark after Lemma 1, see the next section.

Thus, for the equivalence of the synthesizability of the sequence  $\Lambda$  and the admittance of a weak spectral synthesis by the corresponding  $D$ -invariant subspace  $W$  with the spectrum  $\sigma_W = -i\Lambda$  and the residual segment  $I_W = [-\pi D_{BM}(\Lambda); \pi D_{BM}(\Lambda)]$ , it is necessary that  $W = W_S$  and  $\mathcal{J}_\varphi = \mathcal{J}_{\varphi, \text{seq}}$ , where  $\varphi = \mathcal{F}(S)$ , and  $\Lambda_\varphi = \Lambda$ , and the indicator diagram of  $\varphi$  is the segment  $[-i\pi D_{BM}(\Lambda); i\pi D_{BM}(\Lambda)]$ .

The space  $\mathcal{P}(a; b)$  is non-metrizable [9, Cor. 2 of Thm. 1]. This is why, generally speaking, the closure of an arbitrary set  $A \subset \mathcal{P}(a; b)$  can not be obtained just by adding the limits of converging *countable* sequences  $\{\varphi_n\} \subset A$ . Therefore, to answer the question on equivalence of synthesizability of the sequence  $\Lambda$  and the weak spectral synthesis for the corresponding subspace of form (1.6) with the spectrum  $\sigma_W = -i\Lambda$ , we first need to study whether the identity

$$J_{\varphi, \text{seq}} = J_\varphi \quad (1.7)$$

is possible.

**Theorem 1.** *Identity (1.7) holds for all  $\varphi \in \mathcal{P}(a; b)$ .*

By means of this theorem we prove the equivalence of the synthesizability of the sequence  $\Lambda$  and the admittance of the weak spectral synthesis by a space of form (1.6) with the spectrum  $-(i\Lambda)$ , see Corollary 2. Another important application of Theorem 1 is a convenient weight criterion of the weak localizability of the principle submodule in the module  $\mathcal{P}(a; b)$ , see Theorem 2.

The main results of the present work were announced in [13].

## 2. SEQUENTIAL DESCRIPTION OF PRINCIPLE SUBMODULES IN THE SCHWARTZ MODULE

**2.1. Preliminaries.** Let  $[c; d] \subset (a; b)$ ,  $PW(c; d) = \mathcal{F}(L^2(c; d))$  be the Paley-Wiener space,  $P_0[c; d]$  be the space of all entire functions  $\psi$  with a finite norm

$$\|\psi\|_0 = \sup_{z \in \mathbb{C}} \frac{|\psi(z)|}{\exp(dy^+ - cy^-)}, \quad y^\pm = \max\{0, \pm y\}, \quad z = x + iy. \quad (2.1)$$

**Lemma 1.** *If  $\psi \in PW(c; d)$ , then  $\psi \in P_0[c; d]$ , and*

$$\|\psi\|_0 \leq C_0 \|\psi\|_{PW(c; d)}, \quad (2.2)$$

where a positive constant  $C_0$  is independent of  $c$  and  $d$ .

*Proof.* Without loss of generality we can assume that  $c = -d$ ; then

$$\psi(z) = \int_{-d}^d e^{-izt} f(t) dt, \quad f \in L^2(-d; d),$$

$$\|\psi\|_0 = \sup_{z \in \mathbb{C}} \frac{|\psi(z)|}{\exp(d|y|)}, \quad z = x + iy.$$

According Plancherel theorem, for a fixed  $y \in \mathbb{R}$  we have

$$\|\psi(x + iy)\|_{L^2(\mathbb{R})}^2 = 2\pi \|e^{yt} f(t)\|_{L^2(-d; d)}^2.$$

Employing this fact and a subharmonicity of the function  $|\psi|^2$ , for all  $x \in \mathbb{R}$  we obtain the estimates

$$|\psi(x)|^2 \leq \frac{1}{\pi} \int_{|w-x| \leq 1} |\psi(w)|^2 |dw| \leq \frac{1}{\pi} \int_{-1}^1 \left( \int_{-\infty}^{+\infty} |\psi(s + i\tau)|^2 ds \right) d\tau \leq C_1 e^{2d} \|\psi\|_{PW(-d;d)}^2,$$

where  $C_1$  is an absolute constant. Inequality (2.2) follows from these estimates and Phragmén-Lindelöf principle.  $\square$

**Remark 1.** *Theorem A and the proven Lemma yield easily that if the zero set  $\Lambda_\varphi$  of the function  $\varphi \in \mathcal{P}(a; b) \cap \mathcal{P}_0(\mathbb{R})$  is a synthesizable sequence, then*

$$\mathcal{J}(\varphi) = \mathcal{J}_\varphi = \mathcal{J}_{\varphi, \text{seq}}.$$

*Indeed, if  $\Phi \in \mathcal{J}(\varphi)$ , then  $\Phi = \omega\varphi$ , where  $\omega$  is an entire function of the minimal type and there exists a polynomial  $q_\Phi$  such that  $\frac{\omega}{q_\Phi} \in \mathcal{H}(\varphi)$ . Then, by Theorem A, either  $\frac{\omega}{q_\Phi} \in H_{\text{pol}}$  or for an arbitrary fixed point  $\lambda_0 \in \Lambda_\omega \setminus \Lambda_\varphi$  there exist numbers  $\alpha_1, \alpha_2 \in \mathbb{C}$  such that*

$$\left( \alpha_2 - \frac{\alpha_1}{z - \lambda_0} \right) \cdot \frac{\omega}{q_\Phi} \in H_{\text{pol}}.$$

*In both case, in view of the intrinsic description of the space  $\mathcal{P}(a; b)$  and a sequential convergence in it, [9, Cor. 1 from Thm. 2], by the proven lemma we conclude that  $\Phi \in \mathcal{J}_{\varphi, \text{seq}}$ .*

Let  $\varphi \in \mathcal{P}_0(\mathbb{R})$ ,  $c_\varphi = h_\varphi(-\pi/2)$ ,  $d_\varphi = h_\varphi(\pi/2)$ , where  $h_\varphi$  is the indicator of the function  $\varphi$ ,  $PW = PW(c_\varphi; d_\varphi)$ . We consider the following closed subspaces in  $PW$ : the subspace  $PW(\varphi) = J(\varphi) \cap PW$  and the subspace  $PW_{\text{pol}}$  defined as the closure of the set  $\text{Pol}_\varphi$  in  $PW$ .

A one-to-one correspondence

$$\omega \mapsto \omega\varphi, \quad \omega \in \mathcal{H}(\varphi), \tag{2.3}$$

makes an isometry of Hilbert spaces  $\mathcal{H}(\varphi)$  and  $PW(\varphi)$ . The subspace  $H_{\text{pol}}$  defined as the closure of the set of polynomials in  $\mathcal{H}(\varphi)$  is the pre-image of the subspace  $PW_{\text{pol}}$  under this isometry.

We shall need some definitions and facts from the general theory of de Branges spaces [14], and also from work [7], in which this theory was successfully employed for studying  $D$ -invariant subspaces in the Schwartz space (in particular, for the proof of Theorem A).

Originally, *de Branges space* is defined as associated with an entire function  $E$  from the Hermite-Biehler class and is the set of all entire functions  $F$ , such that

$$\int_{-\infty}^{+\infty} \left| \frac{F(t)}{E(t)} \right|^2 dt < +\infty,$$

and obeying some further restrictions, see [14, Sects. 19–21], [7, Sect. 2]).

In this work, we restrict ourselves by an exact formulation of an equivalent definition of de Branges space; this definition is an axiomatic description, see [14, Thm. 23]): *a non-trivial Hilbert space of entire functions  $\mathcal{H}$  is a de Branges space if and only if the following axioms are satisfied:*

(H1) *if  $F \in \mathcal{H}$ ,  $\lambda \in \mathbb{C} \setminus \mathbb{R}$  is a zero of the function  $F$ , then  $F_1 = F(z) \frac{z-\bar{\lambda}}{z-\lambda} \in \mathcal{H}$  and the norm of the functions  $F$  and  $F_1$  are equal;*

(H2) *for each  $\lambda \in \mathbb{C} \setminus \mathbb{R}$ , a corresponding linear  $\delta_\lambda$ -functional acting by the rule  $\delta_\lambda(F) = F(\lambda)$ ,  $F \in \mathcal{H}$ , is continuous in  $\mathcal{H}$ ;*

(H3) *for each function  $F \in \mathcal{H}$ , the function  $F^*(z) = \overline{F(\bar{z})}$  belongs to  $\mathcal{H}$  and has the same norm as  $F$ .*

By means of this axiomatic description, it was established in [15], [7, Sect. 2, Thm. 2.7] that  $\mathcal{H}(\varphi)$  is a de Branges space. It is also easy to check that axioms (H1)–(H3) holds also for

the subspace  $H_{pol}$  regarded as a Hilbert space with scalar product (1.3), that is,  $H_{pol}$  is a de Branges space.

We also formulate two results on de Branges space, see [14, Sect. 35], [7, Thm. 2.1] and [14, Sect. 29], respectively.

**Theorem B.** *Let  $H_1$  and  $H_2$  be closed subspaces of the same de Branges space  $\mathcal{H}$  being also de Branges spaces with the scalar product induced from  $\mathcal{H}$ . Then one of the following inclusions holds:  $H_1 \subset H_2$  or  $H_2 \subset H_1$ .*

**Theorem C.** *Let  $\mathcal{H}$  be a de Branges space,  $H_k$  be the closure of a linear set  $\{f \in \mathcal{H} : z^j f \in \mathcal{H}, j = 1, \dots, k\}$ ,  $k \in \mathbb{N}$ , in  $\mathcal{H}$ . Then  $\dim (\mathcal{H} \ominus H_k) < +\infty$ .*

Employing Theorems B and C, it is easy to prove the following lemma.

**Lemma 2.** *Assume that in the space  $H_{pol}$  there exists a function  $\omega_0$  with the following property:*

$$z^{k_0-1}\omega_0 \in \mathcal{H}(\varphi), \quad z^{k_0}\omega_0 \notin \mathcal{H}(\varphi)$$

for some  $k_0 \in \mathbb{N}$ . Then

$$\dim (\mathcal{H}(\varphi) \ominus H_{pol}) \leq 1.$$

*Proof.* For each  $k \in \mathbb{N}$ , by the symbol  $\mathcal{H}_k$  we denote the closure of the set

$$\{\omega \in \mathcal{H}(\varphi) : z^k \omega \in \mathcal{H}(\varphi)\}$$

in  $\mathcal{H}(\varphi)$ .

Since  $\mathcal{H}(\varphi)$  is the pre-image of the set  $PW \cap \mathcal{J}(\varphi)$  under the isometry (2.3), and  $\mathcal{J}(\varphi)$  is a stable submodule, then  $\mathcal{H}_k$  coincides with the subspace  $H_k$  from Theorem C. It is also clear that  $\mathcal{H}_0 = \mathcal{H}(\varphi)$ ,  $\mathcal{H}_k \subset \mathcal{H}_{k-1}$ ,  $k = 1, 2, \dots$

Each  $\mathcal{H}_k$  with the scalar product induced by that in  $\mathcal{H}(\varphi)$  is a de Branges space, as well as the subspace  $H_{pol}$ . This is why by Theorem B, either  $\mathcal{H}_{k_0} \subset H_{pol}$  or  $H_{pol} \subset \mathcal{H}_{k_0}$ . But the presence of the function  $\omega_0$  in  $H_{pol}$  excludes the possibility  $H_{pol} \subset \mathcal{H}_{k_0}$ ; therefore,

$$\mathcal{H}_{k_0} \subset H_{pol}.$$

In view of Theorem C we have

$$\dim (\mathcal{H}(\varphi) \ominus H_{pol}) \leq \dim (\mathcal{H}(\varphi) \ominus \mathcal{H}_{k_0}) < +\infty.$$

On the other hand, it is known that the codimension of  $H_{pol}$  in  $\mathcal{H}(\varphi)$  can take only three possible values: 0, 1,  $+\infty$  [7, Thms. 2.1, 2.2, 2.9]. This implies the desired statement.  $\square$

**2.2. Proof of Theorem 1.** As it has been already mentioned in the Introduction, by Theorem 2 in [8], the relation  $\varphi \in \mathcal{P}(a; b) \setminus \mathcal{P}_0(\mathbb{R})$  is equivalent to the validity of (1.5) and hence, in this case the statement of the theorem is trivial.

Let  $\varphi \in \mathcal{P}(a; b) \cap \mathcal{P}_0(\mathbb{R})$ . Then, as it has been said in the end of the proof of Lemma 2, the quantity  $\dim (\mathcal{H}(\varphi) \ominus H_{pol})$  can take only one of three possible values: 0, 1,  $+\infty$ .

If  $\dim (\mathcal{H}(\varphi) \ominus H_{pol}) = 0$ , then

$$J_{\varphi, seq} = J_{\varphi} = J(\varphi). \quad (2.4)$$

In the case  $\dim (\mathcal{H}(\varphi) \ominus H_{pol}) = 1$ , identities (2.4) can be proved on the base of Lemma 1 by arguing in the same way as in the remark after this lemma.

We consider the last option:

$$\dim (\mathcal{H}(\varphi) \ominus H_{pol}) = +\infty. \quad (2.5)$$

We denote by  $H_{\varphi}$  the pre-image of the closed subspace  $PW_{\varphi} = PW \cap \mathcal{J}_{\varphi}$  of the space  $PW$  under isometry (2.3) and we let  $H_1 = H_{\varphi} \ominus H_{pol}$ .

To complete the proof of the theorem, it is sufficient to make sure that

$$H_1 = \{\bar{0}\}.$$

First of all we observe that the subspace  $H_1$  can not contain a non-zero function  $\omega$  satisfying  $\Phi = \omega\varphi \in \mathcal{P}_0(\mathbb{R})$ . Indeed, otherwise

$$\Phi = \mathcal{F}(s), \quad s \in C_0^\infty(\mathbb{R}) \cap \mathcal{E}'(a; b).$$

And if  $S_\varphi$  is a regular functional belonging to in  $C_0^\infty(a; b)$  obeying the identity  $\varphi = \mathcal{F}(S_\varphi)$ , then

$$\int_a^b S_\varphi^{(k)}(t) \overline{s(t)} dt = 0, \quad k = 0, 1, 2, \dots$$

Therefore,  $\bar{s} \in W_{S_\varphi}$ .

On the other hand,  $\Phi \in J_\varphi$ , since  $\omega \in H_1 \subset H_\varphi$ . This is why  $s \in W_{S_\varphi}^0$  and

$$0 = s(\bar{s}) = \int_a^b s(t) \overline{s(t)} dt,$$

that is,  $s = 0$ . Thus, if  $\omega \in H_1 \setminus \{\bar{0}\}$ , then there exists a number  $n_\omega \in \mathbb{N}$  such that

$$z^j \omega \in \mathcal{H}(\varphi), \quad j = 0, \dots, n_\omega - 1, \quad z^{n_\omega} \omega \notin \mathcal{H}(\varphi). \quad (2.6)$$

Suppose we shall succeed to establish the following fact.

**(F):** *in the subspace  $H_{pol}$ , there exists a function with property (2.6).*

Applying then Lemma 2, we conclude that  $\dim(\mathcal{H}(\varphi) \ominus H_{pol}) < +\infty$ , and this contradicts relation (2.5). Thus, we have established that in case (2.5) we have

$$H_1 = \{\bar{0}\}, \quad J_{\varphi, seq} = J_\varphi \neq J(\varphi),$$

that is, the principle submodule  $J_\varphi$  is sequentially generated but is not weakly localizable.

It remains to justify statement **(F)**.

Let  $\{\mu_j\}$  be a “sparse” sequence of zeroes a fixed non-zero function  $\omega \in H_1$ , say, such that  $\mu_1 > 1$ ,  $\mu_j > 8\mu_{j-1}$ ,  $j = 2, 3, \dots$ . We let

$$q_m(z) = \prod_{j=1}^m \left(1 - \frac{z}{\mu_j}\right), \quad \tilde{\omega}_m = \frac{\omega}{q_m}.$$

It is clear that  $\tilde{\omega}_m$  satisfies condition (2.6) and by the stability of the submodule  $J_\varphi$  we have  $\tilde{\omega}_m \in H_\varphi$ .

Let  $\text{Pr}_{pol} : H_\varphi \rightarrow H_{pol}$  and  $\text{Pr}_1 : H_\varphi \rightarrow H_1$  be the projectors on the corresponding subspaces. If  $\text{Pr}_1(\tilde{\omega}_m) = 0$  for some index  $m$ , then statement **(F)** holds. Otherwise  $\text{Pr}_1(\tilde{\omega}_m) \neq 0$  for all  $m = 1, 2, \dots$ . Employing standard ways for estimating entire functions and for description of bounded sets in locally-convex spaces of type  $(LN^*)$  [9, Thm. 2], the space  $\mathcal{P}(a; b)$  being one of those, it is easy to confirm that the sequence  $\{\tilde{\omega}_m \varphi\}$  is bounded in the sense of some norm  $\|\cdot\|_{k_0}$ , see (1.4). Hence, there exists a subsequence converging in  $\mathcal{P}(a; b)$ , more precisely,

$$\|\tilde{\omega}_{m_j} \varphi - \tilde{\omega}_0 \varphi\|_{k_0+1} \rightarrow 0,$$

where

$$\tilde{\omega}_0(z) = \frac{\omega(z)}{\prod_{i=1}^{\infty} \left(1 - \frac{z}{\mu_i}\right)}.$$

Let  $q$  be some polynomial of degree  $(k_0 + 2)$  with roots in the set  $\Lambda_\omega \setminus \{\mu_j\}$ . As in the case of  $\tilde{\omega}_m$ , if  $\text{Pr}_1(\tilde{\omega}_m q^{-1}) = 0$  for some index  $m$ , then  $\tilde{\omega}_m q^{-1} \in H_{pol}$  satisfies (2.6) and statement **(F)** holds. Otherwise we employ the convergence of the sequence  $\{\tilde{\omega}_{m_j} q^{-1}\}$  converges to the function  $\omega_0 = \tilde{\omega}_0 q^{-1}$  in the space  $\mathcal{H}(\varphi)$  and  $\omega_0 \varphi \in \mathcal{P}_0(\mathbb{R})$ . By the above remark that each



function in  $H_1$  satisfies (2.6), we have  $\text{Pr}_{pol}(\omega_0) \neq 0$ . If  $\text{Pr}_1(\omega_0) \neq 0$ , then the function  $\text{Pr}_{pol}(\omega_0)$  is the sought one and **(F)** holds.

It remains to treat the case  $\omega_0 \in H_{pol}$ . We observe that multiplying the function  $\omega_0$  by arbitrary rational function  $Q$  such that  $Q\omega_0$  is entire produces a function belonging to  $H_\varphi$  and not satisfying condition (2.6). This is why, if for some rational function  $Q_0$  the inequality  $\text{Pr}_1(Q_0\omega_0) \neq 0$  holds, then the function  $\text{Pr}_{pol}(Q_0\omega_0)$  satisfies **(F)**.

Finally, let  $Q\omega_0 \in H_{pol}$  for each rational function  $Q$  such that  $Q\omega_0$  is entire. For the principle submodule generated by the function

$$\Phi = \omega q^{-1}\varphi$$

relations (1.5) hold since the function  $\omega q^{-1}$  satisfies (2.6). In view of the restrictions determining the choice of the points  $\{\mu_j\}$ , now we are under the same conditions as before Theorem 2 in work [8, Sect. 2]. Employing then Lemmata 1–3 of this work, we find a sequence of polynomials  $\{p_j\}$  such that

$$\lim_{j \rightarrow \infty} p_j \omega_0 \varphi = \Phi$$

in the space  $\mathcal{P}(a; b)$ . In view of the description of the sequential convergence in  $\mathcal{P}(a; b)$ , see [9, Cor. 1 from Thm. 2], we conclude that there exists a polynomial  $p$  possessing the following property: the sequence  $\{p_j \omega_0 p^{-1}\}$  converges to an entire function  $\nu = \omega q^{-1} p^{-1}$  in the norm of the space  $\mathcal{H}(\varphi)$  and the function  $\nu$  satisfies (2.6). Since  $\text{Pr}_1(p_j \omega_0 p^{-1}) = 0$  for all values of the index  $j$ , then  $\nu \in H_{pol}$  and this completes the proof.

### 3. APPLICATION OF MAIN RESULT

Let  $\Lambda \subset \mathbb{C}$ ,  $2\pi D_{BM}(\Lambda) < b - a$ . By Theorem 1 and Theorem A we obtain the following statement.

**Corollary 1.** *A stable submodule  $J \subset \mathcal{P}(a; b)$  with a zero set  $\Lambda$  and an indicator segment  $[c; d] \subset (a; b)$  of length  $2\pi D_{BM}(\Lambda)$  is unique if and only if it is principle and weakly localizable.*

*Proof.* Without loss of generality we assume that

$$b = -a, \quad d = -c = -\pi D_{BM}(\Lambda).$$

According to the said in the Introduction for the case, the statement holds when the system  $\text{Exp}_\Lambda$  is complete or has a finite defect in the space  $L^2(-\pi D_{BM}(\Lambda); \pi D_{BM}(\Lambda))$ . Indeed, this condition for the system  $\text{Exp}_\Lambda$  is equivalent to the fact that the submodule  $\mathcal{J}$  is principle and is of the form (1.5).

If the system  $\text{Exp}_\Lambda$  has an infinite defect in  $L^2(-\pi D_{BM}(\Lambda); \pi D_{BM}(\Lambda))$ , then the part of the statement concerning *necessity* is implied by Theorem A and the fact that a principle submodule is always stable.

To justify *sufficiency* we note that if  $J$  is a weakly localizable principle submodule, then

$$\dim(\mathcal{H}(\varphi) \ominus H_{pol}) \leq 1,$$

see the proof of Theorem 1 and it remains to apply Theorem A.  $\square$

The duality principle allows us to provide an equivalent formulation of Corollary 1 in terms of  $D$ -invariant subspaces.

**Corollary 2.** *A  $D$ -invariant subspace  $W$  with a given discrete spectrum  $(-i\Lambda)$  and a residual segment  $[c; d] \subset (a; b)$  of length  $2\pi D_{BM}(\Lambda)$  is unique if and only if it is of form (1.6) and admits a weak spectral synthesis (1.2).*

It follows from Theorem 1 that a weak localizability of the principle submodule in the module  $\mathcal{P}(a; b)$  generated by the function  $\varphi \in \mathcal{P}_0(a; b)$  can be studied a possibility of approximating functions  $\Phi \in J(\varphi)$  by *countable* sequences functions from the set  $\text{Pol}_\varphi$ .

To formulate an appropriate criterion, we introduce the following notations:  $u(z)$  is the maximal subharmonic minorant of the function  $(h_\varphi(\arg z)|z| - \ln |\varphi(z)|)$ , where  $h_\varphi$  is the indicator function  $\varphi$ ,

$$H_u = \{\omega \in H(\mathbb{C}) : \|\omega(z)\|_u = \sup_{z \in \mathbb{C}} |\omega(z)| e^{-u(z)} < +\infty\}.$$

**Theorem 2.** *The principle submodule  $J_\varphi$  generated by the function  $\varphi \in \mathcal{P}_0(\mathbb{R})$  is weakly localizable if and only if each function  $\omega \in H_u$  is approximated by the polynomials in the norm  $\|\omega\|' = \sup_{z \in \mathbb{C}} |\omega(z)| \exp(-u(z) - 2\ln(2 + |z|))$ .*

*Proof.* It is clear we need to prove only necessity.

Let  $\omega \in H_u$  and  $\mu_0$  be some zero of this function, then  $\frac{\omega}{z - \mu_0} \in \mathcal{H}(\varphi)$ . By Corollary 1 and Theorem A, either  $\mathcal{H}(\varphi) = H_{pol}$  or

$$\dim(\mathcal{H}(\varphi) \ominus H_{pol}) = 1. \quad (3.1)$$

In the first case for some sequence of polynomials  $\{q_j\}$  the relation holds:

$$\frac{\omega}{z - \mu_0} = \lim_{j \rightarrow \infty} q_j$$

in the space  $\mathcal{H}(\varphi)$ . By Lemma 1,

$$\left\| q_j \varphi - \frac{\omega}{z - \mu_0} \varphi \right\|_0 \rightarrow 0,$$

where  $\|\cdot\|_0$  is determined by formula (2.1) with  $c = c_\varphi$ ,  $d = d_\varphi$ . This implies easily the convergence of the polynomials  $\{(z - \mu_0)q_j\}$  to a function  $\omega$  in the norm  $\|\cdot\|'$ .

If identity (3.1) holds, then

$$\left( \alpha_0 \frac{\omega}{z - \mu_0} + \alpha_1 \frac{\omega}{z - \mu_1} \right) \in H_{pol},$$

for some  $\alpha_0, \alpha_1 \in \mathbb{C}$ , where  $\mu_1 \neq \mu_0$  is one more zero of the function  $\omega$ . By Lemma 1, some sequence of polynomials  $\{p_j\}$  converges to the function  $((\alpha_0 + \alpha_1)z - (\alpha_1\mu_0 + \alpha_0\mu_1))\omega$  in the norm  $\|\cdot\|'$ .

If  $\alpha_0 + \alpha_1 = 0$ , then the statement holds. Otherwise, letting  $\beta = \frac{\alpha_1\mu_0 + \alpha_0\mu_1}{\alpha_0 + \alpha_1}$  and taking into consideration the Phragmén-Lindelöf principle and the definition of the function  $u$ , we see that the sequence of the polynomials

$$\tilde{p}_j(z) = \frac{p_j(z) - p_j(\beta)}{(\alpha_0 + \alpha_1)z - (\alpha_1\mu_0 + \alpha_0\mu_1)}, \quad j = 1, 2, \dots,$$

converges to the function  $\omega$  in the norm  $\|\cdot\|'$ . □

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Natalia Fairbakhovna Abuzyarova,  
 Bashkir State University,  
 Zaki Validi str. 32,  
 450076, Ufa, Russia  
 E-mail: abnatf@gmail.com