

HELLY'S THEOREM AND SHIFTS OF SETS. II. SUPPORT FUNCTION, EXPONENTIAL SYSTEMS, ENTIRE FUNCTIONS

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Abstract. Let \mathcal{S} be a family of sets in \mathbb{R}^n , S be the union of all these sets and C be a convex set in \mathbb{R}^n . In terms of support functions of sets in \mathcal{S} and set C we establish necessary and sufficient conditions under which a parallel shift of set C covers set S . We study independently the two-dimensional case, when sets are unbounded, by employing additional characteristics of sets. We give applications of these results to the problems of incompleteness of exponential systems in function spaces.

Keywords: convex set, system of linear inequalities, shift, support function, incompleteness of exponential systems, indicator of entire function

Mathematics Subject Classification: 52A35, 52A20

1. INTRODUCTION AND SOME KEY RESULTS

We use the notations of first part of work [1] and quite often, not mentioning separately, we employ known facts and notations from [2]–[6]. Nevertheless, in Section 1.1, for the sake of convenience, we recall elementary properties of support functions. Given $S \subset \mathbb{R}^n$, by $\text{cl } S$, $\text{int } S$, $\text{co } S$ we denote respectively *closure*, *interior*, *convex hull*/ of set S ; $B(x, r)$ stands for the open ball of radius $r > 0$ in \mathbb{R}^n centered at x .

1.1. For an arbitrary set $S \subset \mathbb{R}^n$,

$$H_S: \mathbb{R}^n \rightarrow [-\infty, +\infty], \quad H_S(a) := \sup_{s \in S} \langle a, s \rangle, \quad a \in \mathbb{R}^n,$$

indicates the *support function* of set $S \subset \mathbb{R}^n$, where $\langle \cdot, \cdot \rangle$ denotes the scalar product in \mathbb{R}^n . In particular, if $S = \emptyset$ is the *empty set* in \mathbb{R}^n , then $H_\emptyset(a) \equiv -\infty$, $a \in \mathbb{R}^n$, and in accordance with usual convention $\sup \emptyset = -\infty$ and $\inf \emptyset = +\infty$ for the empty subset in $[-\infty, +\infty]$. Vice versa, if $H_S(a) = -\infty$ for at least one $a \in \mathbb{R}^n$, then $S = \emptyset$. Thus, if $S \neq \emptyset$, then is the *image* $H_S(\mathbb{R}^n) \subset (-\infty, +\infty]$. Finally, set $S \subset \mathbb{R}^n$ is bounded if and only if $H_S(\mathbb{R}^n) \subset \mathbb{R}$.

Support function is *positively homogeneous*, i.e.,

$$H_S(\lambda a) \equiv \lambda H_S(a), \quad \lambda \in (0, +\infty), \quad a \in \mathbb{R}^n, \quad \lambda \cdot (\pm\infty) := \pm\infty, \quad (1)$$

is *sub-additive*, i.e., $H_S(a + a') \leq H_S(a) + H_S(a')$ for each $a, a' \in \mathbb{R}^n$, is *lower-semicontinuous* and is even *continuous*, if S is bounded and possesses topological-algebraic properties $H_S = H_{\text{cl } S} = H_{\text{co } S} = H_{\text{cl co } S}$, $S \subset \mathbb{R}^n$, which can be complemented by the identities $H_{\text{int } S} = H_{\text{int cl } S} = H_S = H_{\text{cl int } S}$ for *convex* S as $\text{int } S \neq \emptyset$. It is clear that for a one-point set $S = \{x\}$, $x \in \mathbb{R}^n$ for each $a \in \mathbb{R}^n$ we have $H_{\{x\}}(a) = \langle x, a \rangle = \langle a, x \rangle$.

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For a convex set $C \subset \mathbb{R}^n$, set $S \subset \mathbb{R}^n$ is contained in C for a closed C or open S , if and only if $H_S(a) \leq H_C(a)$ for each $a \in \mathbb{R}^n$.

A set $S \subset C \subset \mathbb{R}^n$ is *precompactly embedded* into an open set C if and only if the closure $\text{cl } S$ in the sense of the topology on C inherited from \mathbb{R}^n is a compact set in C (we write $S \Subset C$).

1.2. The main studied problem for $S \subset \mathbb{R}^n$ and a convex $C \subset \mathbb{R}^n$ is to provide necessary and sufficient conditions ensuring that some shift of S is contained in C , when S is a union of arbitrary sets. At that, these conditions are to be given first of all in terms of support functions or in some functional way. The basis of our study is the following elementary

Proposition 1. *Let C be a non-empty convex set in \mathbb{R}^n , $S \subset \mathbb{R}^n$.*

If C is closed or S is open, then some shift of set S is contained in C if and only if there exists $x \in \mathbb{R}^n$ such that $\langle a, x \rangle + H_S(a) \leq H_C(a)$ for each $a \in \mathbb{R}^n$.

For open C some shift of S is precompactly embedded into C if and only if S is bounded, i.e., $H_S(\mathbb{R}^n) \subset \mathbb{R}$ and there exists $x \in \mathbb{R}^n$ such that $\langle a, x \rangle + H_S(a) < H_C(a)$ for each $a \in \mathbb{R}^n$.

Proof. Some shift of S is contained in C if and only if there exists $x \in \mathbb{R}^n$ such that $S + x \subset C$. It yields $\langle a, x \rangle + H_S(a) = H_{S+x}(a) \leq H_C(a)$ for each $a \in \mathbb{R}^n$. And vice versa, if C is closed or S is open, then, as it was mentioned above, inclusion $S + x \subset C$ means $H_{S+x}(a) \leq H_C(a)$, where the left hand side is $\langle a, x \rangle + H_S(a)$. It completes the proof of the first part of the Proposition.

If S is bounded, then H_S is a continuous function and at that, $\langle \cdot, x \rangle + H_S - H_C$ is upper semicontinuous function and it attains its maximum $-\varepsilon < 0$ on the unit sphere centered at 0. By the positive homogeneity of the support function it implies $\langle a, x \rangle + H_{\text{cl } S}(a) + \varepsilon|a| \leq H_C(a)$ for each $a \in \mathbb{R}^n$. Therefore, we have the inclusion $x + \text{cl } S + \varepsilon B(0, 1) \subset C$ and the compactness of $\text{cl } S$ in C . The proof is complete. \square

1.3. In this subsection we provide some specific results for the case of a convex compact set $C \subset \mathbb{R}^n$ which is considered rather in details (see Theorems 1, 2 in the Introduction). Because of many possible subcases, the situation with an unbounded set $C \subset \mathbb{R}^n$ is considered only for some particular case (see Section 3, Subsection 3.1) and in more details for the planar case $n = 2$, i.e., for $C \subset \mathbb{C}$, where the complex plane \mathbb{C} is identified with \mathbb{R}^2 (see Section 3, Subsection 3.2). Cases of non-closed and non-open convex set C are not touched at all as rather complicated even under the choice of an appropriate terminology. In Section 4 we prove theorems on incompleteness of exponential systems in various functional spaces demonstrating the importance of possibility of covering some union of sets by a shift of a convex set.

Theorem 1 (For convex sets $C \Subset \mathbb{R}^n$). *Let $n \in \mathbb{N}$, C be a convex bounded set in \mathbb{R}^n , \mathcal{S} be the family of sets in \mathbb{R}^n , and S be the union of all sets in \mathcal{S} . We assume that C is closed or S is open. Then the following four statements are mutually equivalent:*

1. *some shift of set S is contained in C ;*
2. *for each $n + 1$ sets S_1, \dots, S_{n+1} in family \mathcal{S} and each $n + 1$ closed half-spaces C_1, \dots, C_{n+1} containing C and bounded by support hyperplanes of set C , there exists a vector x such that each shift $S_k + x$ is contained in closed half-space C_k for each $k = 1, \dots, n + 1$;*
3. *for each $n + 1$ sets S_1, \dots, S_{n+1} in family \mathcal{S} and each $n + 1$ vectors $a_1, \dots, a_{n+1} \in \mathbb{R}^n$ and numbers $p_1, \dots, p_{n+1} \geq 0$, the identity*

$$\sum_{k=1}^{n+1} p_k H_{S_k}(a_k) \leq \sum_{k=1}^{n+1} p_k H_C(a_k)$$

holds true under condition

$$\sum_{k=1}^{n+1} p_k a_k = 0;$$

(b) if, possibly after renumbering, the difference $\theta_2 - \theta_1$ is not a multiple of π , then the inequality

$$h_{S_1}(\theta_1) \frac{\sin(\theta_3 - \theta_2)}{\sin(\theta_2 - \theta_1)} + h_{S_3}(\theta_3) + h_{S_2}(\theta_2) \frac{\sin(\theta_1 - \theta_3)}{\sin(\theta_2 - \theta_1)} \leq h_C(\theta_1) \frac{\sin(\theta_3 - \theta_2)}{\sin(\theta_2 - \theta_1)} + h_C(\theta_3) + h_C(\theta_2) \frac{\sin(\theta_1 - \theta_3)}{\sin(\theta_2 - \theta_1)}. \tag{7}$$

holds true.

2. PROOFS OF THEOREMS 1 AND 2

Proof of Theorem 1. The implication $1 \Rightarrow 2$ is obvious.

In order to prove the implication $2 \Rightarrow 1$, for each vector $a \in \mathbb{R}^n$, $a \neq 0$, by C_a we denote the closed half-space containing C and bounded by the support hyperplane to convex set C in the direction of a , i.e.,

$$C_a := \{x: \langle x, a \rangle \leq H_C(a)\}.$$

Here $C_a = C_{a'}$ if vectors a and a' are codirectional, i.e., $a = \alpha a'$ for some $\alpha > 0$. We consider the family of half-spaces $\mathcal{C} := \{C_a: a \in \mathbb{R}^n \setminus \{0\}\}$, where the intersection $C = \bigcap_{a \neq 0} C_a$ is bounded. Statement 2 of the theorem means that for each $n + 1$ sets S_1, \dots, S_{n+1} in family \mathcal{S} and each $n + 1$ closed subspaces $C_{a_1}, \dots, C_{a_{n+1}}$ there exists a vector x such that each shift $S_k + x$ is contained in closed half-space C_{a_k} for each $k = 1, \dots, n + 1$, i.e., the intersection

$$\bigcap_{k=1}^{n+1} (S_k \overset{*}{-} C_{a_k})$$

of the geometric differences $S_k \overset{*}{-} C_{a_k}$ is non-empty. Then [1, Thm. 1, Rem. 2, Implication (CS) \Rightarrow (T)] implies the implication $2 \Rightarrow 1$ of the theorem.

In order to prove the equivalence $2 \Leftrightarrow 3$, we rewrite statement 2 as a system of $n + 1$ linear inequalities. By Proposition 1, Statement 2 is equivalent to an infinite series of inequalities

$$\langle a, x \rangle + H_{S_k}(a) \leq H_{C_{a_k}}(a) \quad \text{for each } a \in \mathbb{R}^n, k = 1, \dots, n + 1. \tag{8}$$

But in accordance with the definition of closed subspaces C_{a_k} , for each vector a not codirectional with a_k , we have $H_{C_{a_k}}(a) = +\infty$. Therefore, infinite system of inequalities (8) is equivalent to the finite system $n + 1$ of linear inequalities

$$\langle a_k, x \rangle + H_{S_k}(a_k) \leq H_{C_{a_k}}(a_k) \quad \text{for each } a_k \in \mathbb{R}^n, k = 1, \dots, n + 1,$$

or in the traditional notation

$$\langle a_k, x \rangle - (H_{C_{a_k}}(a_k) - H_{S_k}(a_k)) \leq 0 \quad \text{for each } a_k \in \mathbb{R}^n, k = 1, \dots, n + 1. \tag{9}$$

By the known Aleksandrov-Fan-Chi theorem [9, Thm. 2.3] is equivalent to the statement: for each $n + 1$ numbers $p_1, \dots, p_{n+1} \geq 0$, under the condition

$$\sum_{k=1}^{n+1} p_k a_k = 0,$$

the inequality

$$\sum_{k=1}^{n+1} p_k (H_{S_k}(a_k) - H_C(a_k)) \leq 0$$

holds true. The latter is equivalent to statement 3 of the theorem.

Returning back to finite system of $n + 1$ linear inequalities (9) (for each fixed set of vectors $a_1, \dots, a_{n+1} \in \mathbb{R}^n$) by S.N. Chernikov solvability criterion [9, Thm. 1.5] for finite system of

linear inequalities, in notations and conventions (2)–(3), system (9) is solvable if and only if the inequality

$$\begin{vmatrix} a_{k_1 j_1} & \cdots & a_{k_1 j_r} & H_C(a_{k_1}) - H_{S_{k_1}}(a_{k_1}) \\ \vdots & & \vdots & \vdots \\ a_{k_r j_1} & \cdots & a_{k_r j_r} & H_C(a_{k_r}) - H_{S_{k_r}}(a_{k_r}) \\ a_{k j_1} & \cdots & a_{k j_r} & H_C(a_k) - H_{S_k}(a_k) \end{vmatrix} \geq 0, \quad k = 1, \dots, n+1,$$

holds true that coincides with inequality (4). The proof is complete. \square

Proof of Theorem 2. It is easy to see that statements 1 and 2 are exactly statements 1 and 2 of Theorem 1. In order to obtain statement 3 of Theorem 2, in view of (5) we write statement 3 of Theorem 1 for $n = 2$ as follows: for each three sets $S_1, S_2, S_3 \in \mathcal{S}$ and each three numbers $a_1 = t_1 e^{i\theta_1}, a_2 = t_2 e^{i\theta_2}, a_3 = t_3 e^{i\theta_3} \in \mathbb{C}$, where $t_1, t_2, t_3 > 0$, and $p_1, p_2, p_3 \geq 0$, under the condition

$$p_1 t_1 e^{i\theta_1} + p_2 t_2 e^{i\theta_2} + p_3 t_3 e^{i\theta_3} = 0$$

the inequality

$$p_1 t_1 h_{S_1}(\theta_1) + p_2 t_2 h_{S_2}(\theta_2) + p_3 t_3 h_{S_3}(\theta_3) \leq p_1 t_1 h_C(\theta_1) + p_2 t_2 h_C(\theta_2) + p_3 t_3 h_C(\theta_3)$$

holds true. Letting $q_1 = p_1 t_1, q_2 = p_2 t_2, q_3 = p_3 t_3$, by the positive homogeneity (1) we make sure that the latter statement is equivalent to statement 3 of Theorem 2.

Let us prove that statement 4 of Theorem 1 as $n = 2$ coincides with statement 4 of Theorem 2.

We can treat three vectors $a_1, a_2, a_3 \in \mathbb{R}^2$ in (2) as three complex numbers

$$\begin{cases} a_1 := t_1 e^{i\theta_1} = t_1 \cos \theta_1 + i \cdot t_1 \sin \theta_1, & t_1 > 0, \\ a_2 := t_2 e^{i\theta_2} = t_2 \cos \theta_2 + i \cdot t_2 \sin \theta_2, & t_2 > 0, \\ a_3 := t_3 e^{i\theta_3} = t_3 \cos \theta_3 + i \cdot t_3 \sin \theta_3, & t_3 > 0. \end{cases} \quad (10)$$

The rank is considered over field \mathbb{R} .

Case of rank $r = 1$. In this case radius vectors of the point are codirectional or opposite directed. In the case when the radius vectors are codirectional, all the six differences $\theta_j - \theta_k$, $j, k = 1, 2, 3, j \neq k$, are multiples of 2π , both sides of inequality (4) vanish by 2π -periodicity of function (5) and inequality (4) holds true immediately. Suppose that at least two vectors are opposite directed. For the sake of definiteness assume that these are a_1 and a_2 , i.e., $\theta_2 - \theta_1$ is a multiple of π but not a multiple of 2π and again for simplicity $\Delta = t_1 \cos \theta_1 \neq 0$. Then (4) is rewritten as

$$\frac{1}{t_1 \cos \theta_1} \begin{vmatrix} t_1 \cos \theta_1 & H_{S_1}(t_1 e^{i\theta_1}) \\ t_k \cos \theta_k & H_{S_k}(t_k e^{i\theta_k}) \end{vmatrix} \leq \frac{1}{t_1 \cos \theta_1} \begin{vmatrix} t_1 \cos \theta_1 & H_C(t_1 e^{i\theta_1}) \\ t_k \cos \theta_k & H_C(t_k e^{i\theta_k}) \end{vmatrix},$$

where $k = 2, 3$, or, in view of (5),

$$\frac{1}{\cos \theta_1} \begin{vmatrix} \cos \theta_1 & h_{S_1}(\theta_1) \\ \cos \theta_k & h_{S_k}(\theta_k) \end{vmatrix} \leq \frac{1}{\cos \theta_1} \begin{vmatrix} \cos \theta_1 & h_C(\theta_1) \\ \cos \theta_k & h_C(\theta_k) \end{vmatrix}. \quad (11)$$

If $\theta_3 - \theta_1$ is a multiple of 2π , then both sides of the latter inequality vanish and it obviously holds. If the difference $\theta_k - \theta_1$ is a multiple of π but not of 2π , then $\cos \theta_k = -\cos \theta_1$. Thus in this case it follows from (11) that

$$h_{S_k}(\theta_k) - \frac{\cos \theta_k}{\cos \theta_1} h_{S_1}(\theta_1) \leq h_C(\theta_k) - \frac{\cos \theta_k}{\cos \theta_1} h_{S_1}(\theta_1). \quad (12)$$

It implies inequality (6) with $j = 1$. Since S_1, S_2, S_3 are arbitrary, in the left hand side of (12) we can take each of the sets S_1, S_2, S_3 instead of S_k and S_1 . In the same way we proceed if $\cos \theta_1 = 0$, but we use $\sin \theta_1 \neq 0$. The search of the other cases for $r = 1$ is reduced to reenumeration of numbers and sets. Hence, we arrive at item 4(a).

Case of rank $r = 2$. Suppose that two radius vectors of the points in (10) are linearly independent, say, a_1 and a_2 . It means that

$$\Delta := \begin{vmatrix} t_1 \cos \theta_1 & t_2 \sin \theta_2 \\ t_1 \cos \theta_1 & t_2 \sin \theta_2 \end{vmatrix} = t_1 t_2 \sin(\theta_2 - \theta_1) \neq 0.$$

At that, inequality (4) is written as

$$\frac{1}{t_1 t_2 \sin(\theta_2 - \theta_1)} \begin{vmatrix} t_1 \cos \theta_1 & t_1 \sin \theta_1 & t_1 h_{S_1}(\theta_1) \\ t_2 \cos \theta_2 & t_2 \sin \theta_2 & t_2 h_{S_2}(\theta_2) \\ t_3 \cos \theta_3 & t_3 \sin \theta_3 & t_3 h_{S_3}(\theta_3) \end{vmatrix} \leq \frac{1}{t_1 t_2 \sin(\theta_2 - \theta_1)} \begin{vmatrix} t_1 \cos \theta_1 & t_1 \sin \theta_1 & t_1 h_C(\theta_1) \\ t_2 \cos \theta_2 & t_2 \sin \theta_2 & t_2 h_C(\theta_2) \\ t_3 \cos \theta_3 & t_3 \sin \theta_3 & t_3 h_C(\theta_3) \end{vmatrix},$$

or

$$\frac{1}{\sin(\theta_2 - \theta_1)} \begin{vmatrix} \cos \theta_1 & \sin \theta_1 & h_{S_1}(\theta_1) \\ \cos \theta_2 & \sin \theta_2 & h_{S_2}(\theta_2) \\ \cos \theta_3 & \sin \theta_3 & h_{S_3}(\theta_3) \end{vmatrix} \leq \frac{1}{\sin(\theta_2 - \theta_1)} \begin{vmatrix} \cos \theta_1 & \sin \theta_1 & h_C(\theta_1) \\ \cos \theta_2 & \sin \theta_2 & h_C(\theta_2) \\ \cos \theta_3 & \sin \theta_3 & h_C(\theta_3) \end{vmatrix}$$

Expanding two latter determinants along the last columns, we obtain (7) that completes the proof of Theorem 2. □

3. UNBOUNDED CONVEX CLOSED SET C

3.1. Case $n \geq 1$. In particular rather simple situations analogues of Theorems 1 and 2 can be established for an unbounded domain $C \subset \mathbb{R}^n$. We recall [1, Def. 1] that a non-zero vector $y \in \mathbb{R}^n$ a *star-shapedness direction* (w.r.t. the infinity), or is called a *recession direction* if for each point $c \in C$ the ray $r_y(c) := \{c + ty : t \geq 0\}$ is contained in C . A vector $y \in \mathbb{R}^n$ is called *linearity direction* if both y and the opposite vector $-y$ are star-shapedness directions for set C , i.e., for each point $c \in C$ the straight line

$$l_y(c) := \{c + ty : t \in \mathbb{R}\} = r_y(c) \cup (r_{-y}(c)) = l_{-y}(c)$$

is contained in C . Set C is *polyhedral*, if C is the intersection of a finite number of closed half-spaces defined by a finite system of linear inequalities

$$\langle a, x \rangle - b \leq 0 \quad \text{for some } a \in \mathbb{R}^n, \quad b \in \mathbb{R}. \tag{13}$$

At that, the half-space defined by (13) are called *determining half-spaces* of polyhedral set C .

Theorem 3 (for unbounded convex closed sets). *Let C be a convex unbounded closed set in \mathbb{R}^n , $n \in \mathbb{N}$, \mathcal{S} be a family of sets in \mathbb{R}^n , and S be the union of all sets in \mathcal{S} . Suppose that family \mathcal{S} is finite, i.e., $\text{card } \mathcal{S} < \infty$, and set C is polyhedral or each star-shapedness direction for C is the linearity direction for C . Then the following four statements are mutually equivalent:*

1. *some shift of set S is contained in C ;*
2. *for each $n + 1$ sets S_1, \dots, S_{n+1} in family \mathcal{S} and each $n + 1$ closed half-spaces (only determining if C is polyhedral) C_1, \dots, C_{n+1} containing C and bounded by support hyperplanes to convex set C , there exists a vector x such that each shift $S_k + x$ is contained in closed half-space C_k for each $k = 1, \dots, n + 1$;*
3. *statement 3 of Theorem 1 holds true;*
4. *statement 4 of Theorem 1 holds true.*

Proof. Let \mathcal{C} be a finite family of all determining half-spaces when C is a polyhedral set, or, otherwise, be the family of all closed half-spaces containing C and bounded by support hyperplanes to convex set C . Applying [1, Thm. 1 on covering by shifts, Cond. (F)], under

the finiteness condition (C is a polyhedral set, family \mathcal{S} is finite), the equivalence (ST) \Leftrightarrow (T) in [1, Thm. 1] implies the equivalence $1\Leftrightarrow 2$. Under our assumptions for the star-shapedness conditions we employ [1, Thm. 1 on covering by shifts, Cond. (d) with $\text{card } \mathcal{S} < \infty$] and again the equivalence (ST) \Leftrightarrow (T) in [1, Thm. 1] yields the equivalence $1\Leftrightarrow 2$. The rest of the proof (of the equivalence $2\Leftrightarrow 3$ and $2\Leftrightarrow 4$ of the theorem reproduces the proof of similar equivalence in Theorem 1 with no major changes. \square

3.2. Planar case. We recall that the *breadth* $B_S(\theta)$ (see [10, **33**], [11, 4.1.1], [12, Ch. I, Sect. 4]) of an arbitrary set $S \subset \mathbb{C}$ in the direction of $\theta \in \mathbb{R}$ is the distance between two support lines to S orthogonal to the radius vector $e^{i\theta}$. In terms of the support function we have

$$B_S(\theta) = h_S(\theta) + h_S(\theta + \pi) = H_S(e^{i\theta}) + H_S(-e^{i\theta}).$$

The smallest breadth $b_S := \inf_{\theta \in \mathbb{R}} B_S(\theta)$ is called *width* [12, Ch I, Sect. 4] or *thickness* (*germ. 'dicke'*) [11, 4.1.1] of set S . If $e^{i\theta}$ is the star-shapedness direction for a convex set $C \subset \mathbb{C}$, then it is convenient to call number $\theta \in \mathbb{R}$ the star-shapedness direction as well. This is how we shall treat the star-shapedness direction in the planar case. Under such definition, number θ is a linearity direction if both θ and $\theta + \pi$ are star-shapedness directions. If each star-shapedness direction of a convex unbounded closed set $C \subset \mathbb{C}$ is a linearity direction, then it is either the empty set or whole complex plane or a strip of a finite width, i.e., in each case C is a polyhedral set or a convex polygon in a general sense (respectively, either with no vertices and sides, or one-angle with the vertex at ∞ and the side of zero length, or two-angle with the vertices ∞ and two-sides of infinite length). Thus, in Theorem 3 condition for the star-shapedness directions of set C is included in the case of its polyhedrality and as $n = 2$, Theorem 3 becomes shorter:

Theorem 4 (for unbounded convex sets $C \subset \mathbb{C}$). *Let C be a convex unbounded closed polygon in \mathbb{C} (in the general sense, with a finite amount of sides among those there can be sides of infinite length, i.e., rays or straight lines), \mathcal{S} be a finite family of sets in \mathbb{C} , and S be the union of all sets in family \mathcal{S} . Then the following four statements are mutually equivalent:*

1. *some shift of set S is contained in C ;*
2. *for all sets S_1, S_2, S_3 in family \mathcal{S} and each closed triangle (in the general sense, with sides determining polyhedral set C) there exists a point $z \in \mathbb{C}$ such that shifts $S_k + z$, $k = 1, 2, 3$, are contained in this triangle;*
3. *statement 3 of Theorem 2 holds true;*
4. *statement 4 of Theorem 2 holds true.*

But there are a lot situations when instructive statements are possible in a simpler form or not for a polyhedral unbounded convex set C . Some of them were employed, sometimes implicitly, in works [13], [14, Sect. 7], [15, Sect. 4] (see also [16, Subsect. 3.2.1–3.2.3]) in studying of completeness of exponential systems in the spaces of functions on unbounded convex sets.

Given a convex set $C \subset \mathbb{C}$, its *recession arc* or *star-shapedness arc* (w.r.t. the infinity) is the arc of the unit circumference formed by intersection of this unit circumference with the set of all the star-shapedness directions for C [2, Ch. II, Sect. 8]. The star-shapedness arc is denoted by 0^+C . Set C is bounded if and only if its star-shapedness arc is an empty set [2, Ch. II, Thm. 8.4]. If the star-shapedness arc of a convex set C involves an arc of angle $> \pi$, then $C = \mathbb{C}$. For an arbitrary set $S \subset \mathbb{C}$ we also define the star-shapedness arc $0^+S := 0^+ \text{co } S$.

Let $S \subset \mathbb{C}$. We define *functions of cut upper and lower width* of set S w.r.t. a point s in the direction $\theta = 0$ by the rule

$$\begin{cases} W_S^\uparrow(x; s) := \sup\{\text{Im } z - \text{Im } s : z \in S, \text{Im } z \geq \text{Im } s, \text{Re } z = x\}, & x \in \mathbb{R}, \\ W_S^\downarrow(x; s) := \sup\{\text{Im } s - \text{Im } z : z \in S, \text{Im } z \leq \text{Im } s, \text{Re } z = x\}, & x \in \mathbb{R}, \end{cases} \quad (14)$$

where, as usually, $\sup \emptyset := -\infty$ for the empty subset $\emptyset \subset [-\infty, +\infty]$.

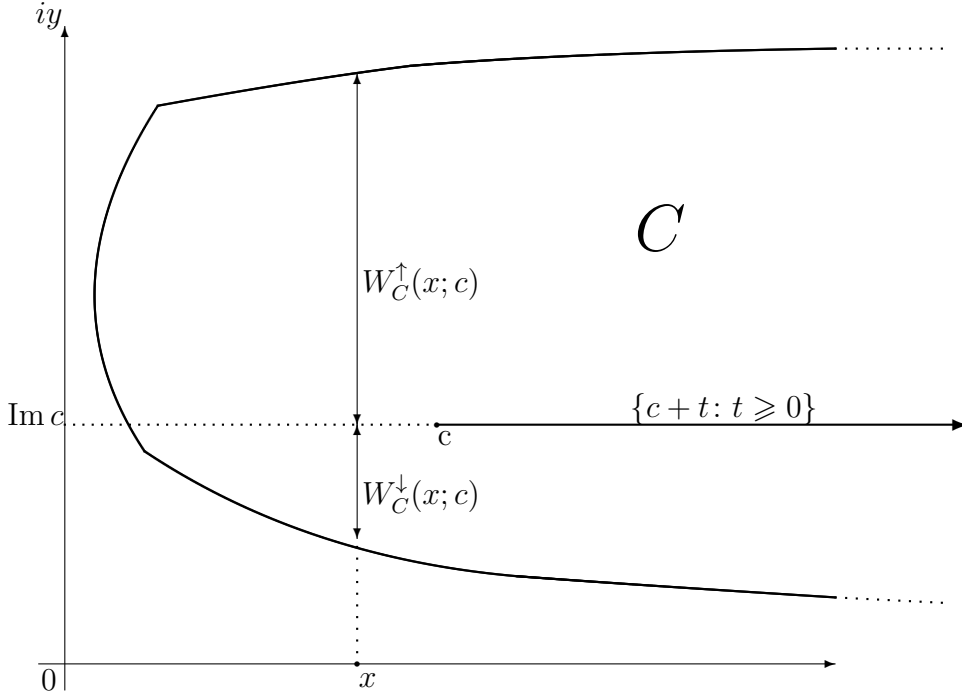


FIGURE 1. To definition (14) and the proof of Theorem 5, Part (4)

For an unbounded convex set $C \subset \mathbb{C}$ star-shaped in the direction $\theta = 0$, the definition of functions $W_C^\uparrow(\cdot; c)$ and $W_C^\downarrow(\cdot; c)$ w.r.t. a point $c \in C$ in the direction $\theta = 0$ is demonstrated on Fig. 1.

Theorem 5. Let $S \subset \mathbb{C}$, C be a convex set in \mathbb{C} .

1. If C has at least two star-shapedness directions $\theta_1, \theta_2 \in \mathbb{R}$ and the difference $\theta_1 - \theta_2$ is not a multiple of π , and S is bounded, then some shift of set S is contained in C .
2. If $0 < \theta_2 - \theta_1 \leq \pi$ and the arc $\smile (\theta_1, \theta_2) := \{e^{i\theta} : \theta_1 < \theta < \theta_2\}$, is contained in 0^+C and also $\smile (\theta'_1, \theta'_2) \supset 0^+S$ and $\theta_1 < \theta'_1 < \theta'_2 < \theta_2$, then some shift of set S is contained in C .
3. If set C is closed and has two different star-shapedness directions θ_1 and θ_2 up to an additive term multiple of 2π and the difference $\theta_2 - \theta_1$ is a multiple of π , but not a multiple of 2π (for the sake of definiteness we consider $\theta_1 = 0$ and $\theta_2 = \pi$) then C is a horizontal strip of finite width $b_C = B_C(\pi/2)$ and some shift of set S is contained in C if and only if breadth $B_S(\pi/2)$ of set S in the direction $\pi/2$ does not exceed width b_C of strip C .
4. If set C is closed and has one star-shapedness direction $\theta = 0$ up to an additive term multiple of 2π , then some shift of set S is contained in C if and only if there exist number $s \in \mathbb{C}$, $c \in C$ and $x_0 \in \mathbb{R}$ such that the inequalities

$$\begin{cases} W_S^\uparrow(x; s) \leq W_C^\uparrow(x + x_0; c) & \text{for each } x \in \mathbb{R}, \\ W_S^\downarrow(x; s) \leq W_C^\downarrow(x + x_0; c) & \text{for each } x \in \mathbb{R}, \end{cases} \quad (15)$$

hold true.

Proof. 1. By the assumption of first statement of Theorem 5, convex set C contains a non-zero angle in which we can always put bounded set S by a parallel shift.

2. Under the hypothesis of statement 2 we consider numbers θ''_1, θ''_2 satisfying inequalities $\theta_1 < \theta''_1 < \theta'_1 < \theta'_2 < \theta''_2 < \theta_2$. By the definition of star-shapedness direction, it is easy to see that set C contains some shift of angle $\sphericalangle [\theta''_1, \theta''_2] := \{re^{i\theta} : r \geq 0, \theta''_1 \leq \theta \leq \theta''_2\}$, while some shift of set S is contained in the angle $\sphericalangle [\theta'_1, \theta'_2] \subset \sphericalangle [\theta''_1, \theta''_2]$. The proof of statement 2 is complete.

3. Under the hypothesis of statement 3, for each point $c \in C$ closed convex set C contains straight line $l_0(c)$, i.e., the horizontal straight line passing point c [2, Thm. 8.3], [1, Prop. 1].

Such property is possessed only the plane, a half-plane with the boundary parallel to the real axis, and horizontal strip of finite width. But the plane and half-plane have more than two star-shapedness directions (up to a number multiple of 2π). Thus, C is indeed a horizontal strip of finite width $b_C = B_C(\pi/2)$. Now the concluding part of statement 3 on the shift of set S is obvious.

4. Under the hypothesis of statement 4 (it is useful to bear Fig. 1 in mind), let us prove the sufficiency. At the first step a shift of plane \mathbb{C} together with set S by the number $c - s$ overlap points s and c , while set S is shifted into the set $S' := S + (c - s)$, which by (15) satisfies inequalities

$$\begin{cases} W_{S'}^\uparrow(x; c) \leq W_C^\uparrow(x + x_0; c) & \text{for each } x \in \mathbb{R}, \\ W_{S'}^\downarrow(x; c) \leq W_C^\downarrow(x + x_0; c) & \text{for each } x \in \mathbb{R}. \end{cases} \quad (16)$$

for some $x_0 \in \mathbb{R}$.

We observe that by the convexity of C , functions of cut upper and lower width $W_C^\uparrow(x, s)$ and $W_C^\uparrow(x, c)$ increase w.r.t. x in the general sense: $(x_1 \leq x_2) \implies (W_C^\uparrow(x_1, c) \leq W_C^\uparrow(x_2, c))$ and the same is true for $W_C^\downarrow(\cdot, c)$. Hence, by shifting set $S' = S + (c - s)$ for a sufficiently great number $x'_0 \geq x_0$, by condition (16) we put the shift $S + (c - s) + x'_0$ into C .

The necessity of (15) for some $s \in \mathbb{C}$, $c \in C$, $x_0 \in \mathbb{R}$ is rather obvious in view of definition (14) for functions of cut upper and lower width. The proof is complete. \square

Example 1. *This example shows that in statement 4 of Theorem 5 cut upper and lower width of sets S and C can not be replaced by the length of cross-sections*

$$W_S(x) := \sup\{|\operatorname{Im} z_1 - \operatorname{Im} z_2| : z_1, z_2 \in S, \operatorname{Re} z_1 = \operatorname{Re} z_2 = x\}$$

and $W_C(x)$ even for a convex set S . It is sufficient to consider the sets

$$\begin{aligned} S &:= \{x + iy \in \mathbb{C} : x, y \in \mathbb{R}, x \geq 0, 0 \leq y \leq \arctan x\}, \\ C &:= \{x + iy \in \mathbb{C} : x, y \in \mathbb{R}, x \geq 0, -\arctan x \leq y \leq 0\}, \end{aligned}$$

having the unique star-shapedness direction $\theta = 0$ (up to a number multiple of 2π) and the width $\pi/2$. At that, $W_S(x) = W_C(x) = \arctan x$ as $x \geq 0$ and $W_S(x) = W_C(x) \equiv -\infty$ as $x < 0$. But none of shifts of S is contained in C .

4. INCOMPLETENESS OF EXPONENTIAL SYSTEMS AND ENTIRE FUNCTIONS OF EXPONENTIAL TYPE

In this section we demonstrate a connection between previous results on shifts of sets with incompleteness of exponential systems in spaces of functions.

4.1. General case \mathbb{C}^n , $n \geq 1$. Let $n \in \mathbb{N}$, \mathbb{C}^n be an n -dimensional complex space over field \mathbb{C} equipped by the Euclidean metrics of space \mathbb{R}^{2n} , i.e., \mathbb{C}^n is identified with \mathbb{R}^{2n} : each point

$$z = (z_1, \dots, z_n) \in \mathbb{C}^n, \quad z_k = x_k + iy_k, \quad x_k, y_k \in \mathbb{R}$$

is associated with the point $(x_1, y_1, \dots, x_n, y_n) \in \mathbb{R}^{2n}$; $\bar{z} := (\bar{z}_1, \dots, \bar{z}_n) \in \mathbb{C}^n$. For $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{C}^n$ we let

$$\langle \lambda, z \rangle := \lambda_1 z_1 + \dots + \lambda_n z_n \in \mathbb{C}, \quad |z| := \sqrt{\langle z, \bar{z} \rangle}$$

is the norm in \mathbb{C}^n . Given an open set $\Omega \subset \mathbb{C}^n$, by $\operatorname{Hol}(\Omega)$ we denote the space of holomorphic in Ω functions equipped with the topology of uniform convergence on compact sets, while for a compact set $C \subset \mathbb{C}^n$ by $\operatorname{CHol}(C)$ we denote the Banach space of functions $f : C \rightarrow \mathbb{C}$ continuous on C and holomorphic in the interior $\operatorname{int} C$, if it is non-empty, with the standard norm

$$\|f\|_{\operatorname{CHol}(C)} := \sup\{|f(z)| : z \in C\}.$$

The space of linear continuous functions on $\text{CHol}(C)$ is formed by complex-valued of Radon measures μ with support $\text{supp } \mu \subset C$ [17, Appendix A]. Such measure is not unique for each functional. Moreover, as $n > 1$, one can not state that given a linear continuous functional on $\text{CHol}(C)$, there exists a measure with the smallest support (w.r.t. the inclusion) representing this functional [18, Ch. 8]. The characteristic function (Fourier-Borel transform, or Fourier-Laplace transform, or Laplace transform) of functional-measure μ is the function

$$L_\mu(\lambda) := \mu(e^{\langle \lambda, \cdot \rangle}) = \int e^{\langle \lambda, z \rangle} d\mu(z), \quad \lambda \in \mathbb{C}^n. \tag{17}$$

This is an entire function of exponential type, i.e.,

$$\limsup_{|\lambda| \rightarrow \infty} \frac{\log |L_\mu(\lambda)|}{|\lambda|} < \infty.$$

The class of all entire functions of exponential type is indicated by $\text{Ent}[1, \infty)$. If characteristic function L_μ is non-zero, then the functional generated by measure μ on $\text{CHol}(C)$ is non-zero.

Let $\mathbb{Z}_+ := \{0\} \cup \mathbb{N}$. *Function-divisor* on \mathbb{C}^n is the mapping $\Lambda: \mathbb{C}^n \rightarrow \mathbb{Z}_+$ and the support of divisor is indicated as usually by $\text{supp } \Lambda \subset \mathbb{C}^n$. Let

$$p = (p_1, \dots, p_n) \in \mathbb{Z}_+^n, \quad z = (z_1, \dots, z_n) \in \mathbb{C}^n, \quad z^p := \prod_{k=1}^n z_k^{p_k}.$$

Under these notations each divisor Λ on \mathbb{C}^n (cf. [16, Ch. 4]) is associated with the system of (multiple) exponentials on \mathbb{C}^n

$$\text{Exp}^\Lambda := \{z^p e^{\langle \lambda, z \rangle} : z \in \mathbb{C}^n, \lambda \in \text{supp } \Lambda, p_1 + \dots + p_n \leq \Lambda(\lambda) - 1\}.$$

A function $L \in \text{Ent}[1, \infty)$ can be associated with zeroes divisor $\text{Zero}_L: \mathbb{C}^n \rightarrow \mathbb{Z}_+$ which is equal to the order of zero of function L at $z \in \mathbb{C}^n$ for each point z . Given an arbitrary divisor Λ , in what follows we write $\Lambda \leq \text{Zero}_{L_\mu}$ if $\Lambda(\lambda) \leq \text{Zero}_{L_\mu}(\lambda)$ for each $\lambda \in \mathbb{C}^n$. It is well-known ([16, Thm. 1.1.2]) that if there exists a measure μ with $\text{supp } \mu \subset C$ and non-zero characteristic function L_μ defined by (17) such that $\Lambda(\lambda) \leq \text{Zero}_{L_\mu}(\lambda)$ for each $\lambda \in \mathbb{C}^n$, then system Exp^Λ is *incomplete* in space $\text{CHol}(C)$ ¹

A complex-valued measure μ defined on \mathbb{C}^n is *concentrated* on set $S \subset \mathbb{C}^n$ if for each $A \subset \mathbb{C}^n$ the identity $\mu(A) = \mu(A \cap S)$ holds true.

Theorem 6. *Let $n \in \mathbb{N}$, C be a convex compact set in \mathbb{C}^n , and μ_1, μ_2, \dots be at most countable sequence of complex-valued Radon measures concentrated respectively on sets $S_1, S_2, \dots \subset \mathbb{C}^n$. If the family $\mathcal{S} = \{S_1, S_2, \dots\}$ satisfies at least on four equivalent statements of Theorem 1, the series $\sum_{k \geq 1} \mu_k$ **-weakly converges* (in the space of continuous functions) to measure μ , and*

$$\sum_{k \geq 1} \mu_k(\mathbb{C}^n) \neq 0, \tag{18}$$

then under notations (17), for each divisor $\Lambda \leq \text{Zero}_{L_\mu}$ exponential system Exp^Λ is incomplete in space $\text{CHol}(C)$.

Proof. Under **-weak convergence* of series $\sum_{k \geq 1} \mu_k$ to measure μ , the support of measure μ is contained in the closure of union $S = \bigcup_{k \geq 1} S_k$ and it is easy to show that in notations (17) the series

$$\sum_{k \geq 1} L_{\mu_k} = L_\mu \tag{19}$$

¹A system of vectors is incomplete in a topological vector space if the closure of its linear span does not coincide with the space.

converges to function $L_\mu \in \text{Ent}[1, \infty)$ uniformly on compact sets in \mathbb{C}^n and by (18) we have $L_\mu(0) \neq 0$. If a shift $C + a$ of set C covers all S_k simultaneously, the same shift $C + a$ covers also $\text{cl } S$. Then the non-zero function

$$e^{\langle -a, \cdot \rangle} \cdot L_\mu \in \text{Ent}[1, \infty) \tag{20}$$

is the characteristic function of measure μ_a defined by the rule $\mu_a(A) = \mu(A - a)$, where A is an arbitrary Borel set in \mathbb{C}^n , and measure μ_a with support $\text{supp } \mu_a \subset C$ generates a non-zero functional. This functional annihilates the exponential system with the divisor of exponents coinciding with the divisor of zeroes of function (20), which is equal Zero_{L_μ} . This functional thus annihilates exponential system Exp^Λ since $\Lambda \leq \text{Zero}_{L_\mu}$. Therefore, system Exp^Λ is incomplete in $\text{CHol}(C)$ [16, Thm. 1.1.2]. \square

Remark 1. For arbitrary $\lambda_0 \in \mathbb{C}^n$ condition (18) can be replaced by

$$\sum_{k \geq 1} \int e^{\langle \lambda_0, z \rangle} d\mu_k(z) \neq 0.$$

We can also formulate a weaker theorem similar to Theorem 6, but this theorem can be formulated only in terms of entire functions of exponential type and their radial regularized growth indicators.

First of all we note that the opposite to (17) is valid: if $L \in \text{Ent}[1, \infty)$, then for L there exists a (non-unique) measure μ with a compact support in \mathbb{C}^n such that $L = L_\mu$ in notations (17). For each function $L \in \text{Ent}[1, \infty)$, we introduce an upper semi-continuous function [18, Ch. I, Sect. 8]

$$h_r^*(z, L) := \limsup_{z' \rightarrow z} \limsup_{t > 0, t \rightarrow +\infty} \frac{\log |L(tz')|}{t} \tag{21}$$

called *radial regularized growth indicator* as entire function L has order 1. If for a compact set $C \subset \mathbb{C}^n$ with the support function H_C (we identify \mathbb{C}^n with \mathbb{R}^{2n}) and function $L \in \text{Ent}[1, \infty)$ the inequality $h_r^*(\bar{z}, L) \leq H_C(z)$, $z \in \mathbb{C}^n$, holds true, then by Martino-Erenpreiss-Polya theorem [18, Thm. 8.9], [19, Thm. 12.3], for each domain $\Omega \supset C$, function L is the characteristic function of some measure μ with compact support $\text{supp } \mu \subset \Omega$.

Theorem 7. Let $n \in \mathbb{N}$, C be a compact set in \mathbb{C}^n ,

$$L_1, L_2, \dots \in \text{Ent}[1, \infty) \tag{22}$$

be a finite sequence of non-zero function on \mathbb{C}^n with radial regularized growth indicators $h_r^*(\cdot, L_k)$, $k = 1, 2, \dots$, and for each k and for some continuous positive homogeneous sub-linear function on \mathbb{R}^{2n} identified with \mathbb{C}^n , i.e., for support function H_{S_k} of some convex closed set S_k the inequality $h_r^*(\bar{z}, L_k) \leq H_{S_k}(z)$ holds true for each $z \in \mathbb{C}^n$. If family $\mathcal{S} = \{S_1, S_2, \dots\}$ satisfies at least one of four equivalent statement of Theorem 1 and

$$\sum_{k \geq 1} L_k = L \tag{23}$$

is a non-zero function, then for each divisor $\Lambda \leq \text{Zero}_L$, system Exp^Λ is incomplete in space $\text{Hol}(\Omega)$ for each domain $\Omega \supset C$.

Proof. Let a shift $C + a$ of compact set C covers all S_k , and the domain $\Omega + a$ contains $C + a$. Then by Martino-Erenpreiss-Polya theorem, for some measure μ with a compact support $\text{supp } \mu \subset \Omega + a$ in notations (17) $L = L_\mu$. Therefore, exponential system $\text{Exp}^{\text{Zero}_L}$ is annihilated by a non-zero functional-measure μ on $\text{Hol}(\Omega + a)$. It means that system Exp^Λ as $\Lambda \leq \text{Zero}_L$ is incomplete in space $\text{Hol}(\Omega + a)$. Hence, system Exp^Λ is incomplete in $\text{Hol}(\Omega)$. The proof is complete. \square

Remark 2. In Theorem 7, the sequence of functions in (22) can be infinite (countable), but at that one should assume rather strict convergence of the series in (23). For instance, it is sufficient to assume that this series converges uniformly on compact sets in \mathbb{C}^n and the estimate

$$\left| \sum_{1 \leq k \leq N} L_k(z) \right| \leq M \exp(H_{\text{co} \cup_k S_k}(\bar{z})), \quad z \in \mathbb{C}^n, \quad M \text{ is a constant.}$$

holds true uniformly in N . We can strengthen Theorem 7 in another direction, namely: system Exp^Λ is incomplete in space $\text{Hol}(C)$ of functions holomorphic in the vicinity of compact set C with the natural topology of inductive limit (cf. [16], [18], [19]).

4.2. Planar case $n = 1$. As $n = 1$, the treatment of some objects appearing in the formulation of Theorems 6 and 7 is slightly simplified.

Instead of the divisor function, it is reasonable to consider at most countable sequence of points $\Lambda = \{\lambda_k\}_{k \geq 1} \subset \mathbb{C}$, among which there can be repeating ones, but sequence Λ has no limiting points in \mathbb{C} . With sequence Λ , we associate the system of (multiple) exponentials

$$\text{Exp}^\Lambda := \{z^p e^{\lambda_k z} : z \in \mathbb{C}, 0 \leq p \leq n_\Lambda(\lambda_k) - 1\},$$

where $n_\Lambda(\lambda)$ is the amount of repetitions of a point $\lambda \in \mathbb{C}$ in sequence Λ . To a non-zero function $L \in \text{Ent}[1, \infty)$, a sequence of zeroes Zero_L counted with orders taken into account. At that, $\Lambda \leq \text{Zero}_L$ means $n_\Lambda(\lambda) \leq n_{\text{Zero}_L}(\lambda)$ for each $\lambda \in \mathbb{C}$. Under such treatment the phrase "... for each divisor $\Lambda \leq \text{Zero}_{L_\mu}$..." in the conclusion of Theorem 6 should be replaced by "... for each sequence $\Lambda \leq \text{Zero}_{L_\mu}$..."

Concerning Theorem 7, instead of radial regularized growth indicator as entire function $L \in \text{Ent}[1, \infty)$ has order 1, we can consider the growth indicator

$$h(\theta, L) := \limsup_{t > 0, t \rightarrow +\infty} \frac{\log |L(te^{i\theta})|}{t}, \quad \theta \in \mathbb{R}, \tag{24}$$

which is a continuous 2π -periodic trigonometrically convex function [7], [8], [16], which the support function of some convex compact set (indicator diagram), or is the support function $h_S(\theta) \equiv h(-\theta, L)$ of the adjoint diagram S of function L . Then Theorem 7 can be reformulated as

Theorem 8. Let C be a convex compact set in \mathbb{C} , (22) be a finite sequence of non-zero functions on \mathbb{C} with adjoint diagrams S_k , $k = 1, 2, \dots$, respectively. If family $\mathcal{S} = \{S_1, S_2, \dots\}$ satisfies at least one of four equivalent statements of Theorem 2 and function L in (23) is a non-zero function, then for each sequence $\Lambda \leq \text{Zero}_L$, system Exp^Λ is incomplete in $\text{Hol}(\Omega)$ for each domain $\Omega \supset C$.

Remark 3. Remark 2 is still valid for Theorem 8.

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