

ON ABSENCE CONDITIONS OF UNCONDITIONAL BASES OF EXPONENTS

R.A. BASHMAKOV, A.A. MAKHOTA, K.V. TROUNOV

Abstract. In the classical space $L^2(-\pi, \pi)$ there exists the unconditional basis $\{e^{ikt}\}$ (k is integer). In the work we study the existence of unconditional bases in weighted Hilbert spaces $L^2(I, \exp h)$ of the functions square integrable on an interval I in the real axis with the weight $\exp(-h)$, where h is a convex function. We obtain conditions showing that unconditional bases of exponents can exist only in very rare cases.

Keywords: Riesz bases, unconditional bases, series of exponents, Hilbert space, Fourier-Laplace transform

Mathematics Subject Classification: 30D20

Let I be an interval in the real axis, $h(t)$ be a convex function on this interval $L^2(I, \exp h)$ be the space of locally integrable functions on I satisfying the condition

$$\|f\| := \sqrt{\int_I |f(t)|^2 e^{-2h(t)} dt} < \infty.$$

It is a Hilbert space with the scalar product

$$(f, g) = \int_I f(t) \bar{g}(t) e^{-2h(t)} dt.$$

Definition 1. The family $\{e^{\lambda_k t}, k = 1, 2, \dots\}$ is called unconditional basis in space $L^2(I, \exp h)$ if

- 1) family $\{e^{\lambda_k t}, k = 1, 2, \dots\}$ is dense in space $L^2(I, \exp h)$;
- 2) there exist positive constants m, M such that for each finite sequence $a_k \in \mathbb{C}$ the two-sided estimate

$$m \sum_k |a_k|^2 \|e^{\lambda_k t}\|^2 \leq \left\| \sum_k a_k e^{\lambda_k t} \right\|^2 \leq M \sum_k |a_k|^2 \|e^{\lambda_k t}\|^2. \quad (1)$$

holds true.

We follow the definition in work [2]. As it was mentioned in this work, if system $\{e^{\lambda_k t}\}$ forms an unconditional basis in space $L^2(I, \exp h)$, each function $f \in L^2(I, \exp h)$ is uniquely expanded into absolutely (reordered) convergent series over this system:

$$f(t) = \sum_{k=1}^{\infty} f_k e^{\lambda_k t}, \quad t \in I. \quad (2)$$

In this section we consider the existence of unconditional exponential bases in space $L^2(I, \exp h)$.

The main tool of the study is the Laplace transform.

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As it was shown in work [7], Laplace transform $L : S \mapsto \widehat{S}$ makes an isomorphism of the space adjoint to $L^2(I, \exp h)$ with Hilbert space $\widehat{L}^2(I, \exp h)$ of functions F analytic in space $J + i\mathbb{R}$, where

$$J = \{x : \widetilde{h}(x) = \sup_{t \in I} (xt - h(t)) < \infty\}$$

with the norm

$$\|F\| = \sqrt{\int_0^\infty \int_J \frac{|F(x + iy)|^2}{K(x)} d\widetilde{h}'(x) dy},$$

and

$$K(x) = \int_I e^{2xt - 2h(t)} dt = \|e^{\lambda t}\|^2, \quad \lambda = x + iy.$$

Suppose that system $\{e^{\lambda_k t}\}$ forms an unconditional basis in space $L^2(I, \exp h)$. By S_k we denote a linear functional in space $L^2(I, \exp h)$ which maps each function $f \in L^2(I, \exp h)$ into the coefficient f_k in expansion (2):

$$S_k(f) = f_k.$$

If by P we denote $\max(M, \frac{1}{m})$, where M, m are the constants in relation (1), then for each n the two-sided estimate

$$\frac{1}{P} \sum_{k=1}^n |f_k|^2 \|e^{\lambda_k t}\|^2 \leq \left\| \sum_{k=1}^n f_k e^{\lambda_k t} \right\|^2 \leq P \sum_{k=1}^n |f_k|^2 \|e^{\lambda_k t}\|^2$$

holds true. Passing to the limit as $n \rightarrow \infty$, we obtain

$$\frac{1}{P} \sum_{k=1}^{\infty} |f_k|^2 \|e^{\lambda_k t}\|^2 \leq \|f\|^2 \leq P \sum_{k=1}^{\infty} |f_k|^2 \|e^{\lambda_k t}\|^2.$$

By the definition of function $K(\lambda) = K(\operatorname{Re} \lambda)$ this relation can be written as

$$\frac{1}{P} \sum_{k=1}^{\infty} |f_k|^2 K(\lambda_k) \leq \|f\|^2 \leq P \sum_{k=1}^{\infty} |f_k|^2 K(\lambda_k). \quad (3)$$

The left inequality implies the boundedness of functional S_k :

$$|S_k(f)| \leq \sqrt{\frac{P}{K(\lambda_k)}} \|f\|.$$

Thus, functions $\widehat{S}_k(\lambda)$ lie in space $\widehat{L}^2(I, \exp h)$ and moreover,

$$\widehat{S}_k(\lambda_n) = \begin{cases} 0, & n \neq k, \\ 1, & n = k. \end{cases} \quad (4)$$

We observe that $\lambda_n, n \neq k$, are simple zeroes of function $\widehat{S}_k(\lambda)$. Indeed, if for some $m \neq k$ the quantity $\widehat{S}'_k(\lambda_m)$ vanished, function $(\lambda_k - \lambda_m)\widehat{S}_k(\lambda)/(\lambda - \lambda_m)$ lying in $\widehat{L}^2(I, \exp h)$ would vanish at points $\lambda_n, n \neq k$, and would equal to 1 at point λ_k , i.e., at all the points $\lambda_n, n = 1, 2, \dots$, it would coincide with function $\widehat{S}_k(\lambda)$. Then by the completeness of the system $e^{\lambda_n t}$ in space $L^2(I, \exp h)$ the system of points $\lambda_n, n = 1, 2, \dots$, is the uniqueness set for space $\widehat{L}^2(I, \exp h)$. Hence, functions $(\lambda_k - \lambda_m)\widehat{S}_k(\lambda)/(\lambda - \lambda_m)$ and $\widehat{S}_k(\lambda)$ should coincide identically.

Let

$$L(\lambda) = \widehat{S}_1(\lambda)(\lambda - \lambda_1).$$

This function is analytic in the strip $J + i\mathbb{R}$ with simple zeroes at points λ_n , $n = 1, 2, \dots$. The functions

$$\frac{L(\lambda)}{L'(\lambda_k)(\lambda - \lambda_k)} = \frac{\widehat{S}_1(\lambda)(\lambda - \lambda_1)}{(\lambda - \lambda_k)(\lambda_k - \lambda_1)\widehat{S}'_1(\lambda_k)}, \quad k \neq 1,$$

$$\frac{L(\lambda)}{L'(\lambda_1)(\lambda - \lambda_1)} = \widehat{S}_1(\lambda)$$

are also elements of space $\widehat{L}^2(I, \exp h)$ and they coincide with function $\widehat{S}_k(\lambda)$ at all the points λ_n , $n = 1, 2, \dots$. Again by the completeness of system $\{e^{\lambda_n t}\}$ in space $L^2(I, \exp h)$ we have

$$\widehat{S}_k(\lambda) = \frac{L(\lambda)}{L'(\lambda_k)(\lambda - \lambda_k)}, \quad \lambda \in \mathbb{C}. \quad (5)$$

For a fixed $\lambda \in \mathbb{C}$, function $e^{\lambda t}$ lies in space $L^2(I, \exp h)$ and thus, it can be expanded into the series over system $e^{\lambda_k t}$:

$$e^{\lambda t} = \sum_{k=1}^{\infty} c_k(\lambda) e^{\lambda_k t}. \quad (6)$$

We apply functional S_n to this identity. In view of relations (4) we obtain

$$\widehat{S}_n(\lambda) = \sum_{k=1}^{\infty} c_k(\lambda) \widehat{S}_n(\lambda_k) = c_n(\lambda).$$

Together with (5) it yields

$$c_n(\lambda) = \frac{L(\lambda)}{L'(\lambda_n)(\lambda - \lambda_n)}, \quad \lambda \in \mathbb{C}.$$

Representation (6) and condition (3) imply

$$\frac{1}{P} \sum_{k=1}^{\infty} |c_k(\lambda)|^2 K(\lambda_k) \leq K(\lambda) \leq P \sum_{k=1}^{\infty} |c_k(\lambda)|^2 K(\lambda_k)$$

or

$$\frac{1}{P} K(\lambda) \leq \sum_{k=1}^{\infty} \frac{|L(\lambda)|^2 K(\lambda_k)}{|L'(\lambda_k)|^2 |\lambda - \lambda_k|^2} \leq P K(\lambda). \quad (7)$$

Thus, we have proven the theorem:

Theorem 1. *If system $\{e^{\lambda_k t}\}$ is an unconditional basis in space $L^2(I, \exp h)$, there exists a function L analytic in the strip $J + i\mathbb{R}$ with simple zeroes at points λ_k , $k = 1, 2, \dots$, satisfying relation (7).*

Relation (7) allows us to find out some properties of the distribution of zeroes of function $L(\lambda)$.

We introduce a characteristics $\tau(u, z, p)$ for a convex function $u(x)$.

Let z be a fixed point in the plane. For each positive $r > 0$, by $B(z, r)$ we denote the circle $\{w : |w - z| < r\}$, and for a function f continuous in $\overline{B}(z, r)$ we let

$$\|f\|_r = \max_{w \in \overline{B}(z, r)} |f(w)|.$$

Let $d(f, z, r)$ be the distance from function f to the subspace of harmonic in $B(z, r)$ functions:

$$d(f, z, r) = \inf\{\|f - H\|_r, H \text{ is harmonic in } B(z, r)\}.$$

If $u(x)$ is a convex function on interval $I \subset \mathbb{R}$, function $u(w) = u(\operatorname{Re} w)$ is continuous in the vertical strip $I + i\mathbb{R}$ in the plane. For a positive number p we let

$$\tau(u, z, p) = \sup\{r : d(u, z, r) \leq p\}.$$

It is clear that $\tau(u, z, p)$ depends only on $\operatorname{Re} z$. If needed, we redefine function u letting it being equal to $+\infty$ outside interval I . Then $\tau(u, z, p)$ can not exceed the distance from y to the boundary of the domain for function u . Thus, $\tau(u, z, p)$ is the radius of the maximal circle centered at point z , in which function u deviate from the space of harmonic functions in this circle at most by p .

The introduced characteristics $\tau(u, z, p)$ of a convex function $u(x)$ happens to be closely related with the geometric characteristic of convexity $\rho_2(u, y, p)$ introduced in works [4], [7]:

$$\rho_2(u, y, p) = \sup\{t > 0 : \int_{y-t}^{y+t} |u'(\tau) - u'(y)| d\tau \leq p\}.$$

This quantity $\rho_2 = \rho_2(u, y, p)$ can be determined by the identity

$$\frac{u(y - \rho_2) + u(y + \rho_2)}{2} - u(y) = \frac{p}{2}.$$

We observe that

$$\rho(u, y, p) = \rho_2(u, y, 2p).$$

For an arbitrary continuous function $u(y)$ on the real axis and a positive number r , by $d_1(u, y, r)$ we denote the deviation in the uniform norm for function u on the segment $[y-r; y+r]$ from linear functions:

$$d_1(u, y, r) = \inf\left\{ \max_{t \in [y-r; y+r]} |u(t) - l(t)|, \text{ } l \text{ is linear} \right\}.$$

By $\rho(u, y, p)$ we denote the maximal number r such that on the interval $[y-r; y+r]$ function u deviates from linear functions at most by p :

$$\rho(u, y, p) = \sup\{r : d_1(u, y, r) \leq p\}.$$

Lemma 1. 1. For each positive p , function $\tau(y, p) = \tau(u, y, p)$ satisfy the estimates

$$\tau(y, p) \geq \rho(y, p) \geq \frac{1}{16} \tau(y, p).$$

2. For $q \geq p > 0$, the two-sided estimates

$$\tau(y, q) \geq \tau(y, p) \geq \frac{p}{16q} \tau(y, q)$$

hold true. 3. Function $\tau(y) = \tau(u, y, p)$ satisfies Lipschitz condition: for each x, y in the domain of u

$$|\tau(y) - \tau(x)| \leq |y - x|.$$

Proof. 1. We fix a point $z \in \mathbb{C}$ so that $y = \operatorname{Re} z$ lies in the domain of function u . We let $r = \rho(u, y, p)$. Then there exists a linear function l satisfying the condition

$$|u(x) - l(x)| \leq p, \quad x \in [y-r; y+r].$$

The function $v(w) = l(\operatorname{Re} w)$ is harmonic and

$$|u(\operatorname{Re} w) - l(\operatorname{Re} w)| \leq p, \quad w \in B(z, r).$$

Hence,

$$\tau(y, p) \geq r = \rho(y, p).$$

We let $r = \tau(u, y, p)$. In circle $B(z, r)$, there exists a harmonic function H such that $\|u - H\|_r \leq p$. We choose a linear function l such that $l(x) \leq u(x)$ for each x , $l(y) = u(y)$. The existence of

such function is ensured by the convexity of function u . We let $v(w) = l(\operatorname{Re} w)$. Then in circle $B(z, r)$ the inequalities

$$v(w) \leq u(w) \leq H(w) + p$$

hold true. Therefore,

$$(H(w) + p) - v(w) \geq 0.$$

Moreover, since $v(z) = u(\operatorname{Re} z)$, then

$$(H(z) + p) - v(z) = (H(z) + p) - u(\operatorname{Re} z) = (H(z) - u(\operatorname{Re} z)) + p \leq 2p.$$

We apply Harnack inequality for non-negative harmonic functions to the function $H(w) + p - v(w)$: in circle $B(z, \frac{r}{2})$ we have the estimate

$$(H(w) + p) - v(w) \leq 3((H(z) + p) - v(z)) \leq 6p.$$

Then in the same circle $B(z, \frac{r}{2})$ the estimate

$$|u(\operatorname{Re} w) - v(w)| \leq |u(\operatorname{Re} w) - H(w)| + |h(w) + p - v(w)| + p \leq 8p$$

is valid. The functions in the left hand side of this inequality depend on $x = \operatorname{Re} w$ only. Thus, we obtain

$$|u(x) - l(x)| \leq 8p, \quad x \in \left[y - \frac{r}{2}; y + \frac{r}{2} \right].$$

It follows from this estimate that

$$\rho(y, 8p) \geq \frac{r}{2} = \tau(y, p)$$

or

$$\tau(y, p) \leq 2\rho(y, 8p).$$

It implies

$$\tau(y, p) \leq 16\rho(y, p)$$

2. The second part of Lemma 1 can be obtained on the basis of the properties of function $\rho(y, z, p)$.

3. We choose points y_1, y_2 in the domain of function $u(x)$, and let $r = \tau(u, y_1, p)$. It means that in circle $B(y_1, r)$ there exists a harmonic function $H(z)$ obeying the condition

$$|u(\operatorname{Re} z) - H(z)| \leq p.$$

If $|y_1 - y_2| < r$, this inequality is satisfied also in the circle $B(y_2, r - |y_1 - y_2|)$, hence,

$$\tau(u, y_2, p) \geq r - |y_1 - y_2| = \tau(u, y_1, p) - |y_1 - y_2|,$$

or

$$\tau(u, y_1, p) - \tau(u, y_2, p) \leq |y_1 - y_2|.$$

If $|y_1 - y_2| \geq r = \tau(u, y_1, p)$, then

$$\tau(u, y_1, p) - \tau(u, y_2, p) \leq |y_1 - y_2|.$$

We swap y_1, y_2 :

$$\tau(u, y_2, p) - \tau(u, y_1, p) \leq |y_1 - y_2|.$$

Thus,

$$|\tau(u, y_1, p) - \tau(u, y_2, p)| \leq |y_1 - y_2|.$$

□

It was shown in work [11] that the quantity $\tau = \tau(u, \lambda, p)$ is well determined by the condition: if $H(z)$ is a harmonic majorant for function $u(z)$ in circle $B(\lambda, \tau)$, then

$$\max_{z \in \overline{B}(\lambda, \tau)} (H(z) - u(z)) = 2p. \quad (8)$$

We determine this quantity for the function $\ln K(\lambda)$ and the number $\ln(5P)$, where P is the constant in relation (7). In what follows we shall denote it simply by $\tau(\lambda)$. So,

$$\inf_{v \in A(B(\lambda, \tau))} \max_{z \in \overline{B}(\lambda, \tau)} |\ln K(z) - v(z)| = \ln(5P),$$

where by $A(B(\lambda, \tau))$ we denote the set of the functions harmonic in circle $B(\lambda, \tau)$.

Theorem 2. *Let $L(\lambda)$ be a function analytic in the strip $J + i\mathbb{R}$, with simple zeroes λ_k , $k = 1, 2, \dots$ for some P satisfying the two-sided estimate*

$$\frac{1}{P}K(\lambda) \leq \sum_{k=1}^{\infty} \frac{|L(\lambda)|^2 K(\lambda_k)}{|L'(\lambda_k)|^2 |\lambda - \lambda_k|^2} \leq PK(\lambda).$$

Then

- 1) Each circle $B(\lambda, 2\tau(\lambda))$ contains at least one zero λ_k of function L .
- 2) For each n, k , $n \neq k$, the inequality

$$|\lambda_k - \lambda_n| \geq \frac{\max(\tau(\lambda_k), \tau(\lambda_n))}{10P^{\frac{3}{2}}}$$

holds true.

- 3) For each k , in the circle $B(\lambda_k, \frac{\tau(\lambda_k)}{20P^{\frac{3}{2}}})$, the relation

$$\frac{1}{56P^8}K(\lambda) \leq \frac{K(\lambda_k)|L(\lambda)|^2}{|L'(\lambda_k)|^2 |\lambda - \lambda_k|^2} \leq PK(\lambda)$$

is valid.

Proof. 1. We argue by contradiction to prove the first statement. Suppose that for some $\lambda \in \mathbb{C}$, the circle $B = B(\lambda, 2\tau(\lambda))$ contains no zeros of function L . We take a point $z \in B(\lambda, \tau(\lambda))$. Then for each k we have $\tau(\lambda) \leq |\lambda_k - \lambda|/2$ and $|\lambda - z| < \tau(\lambda) \leq |\lambda_k - \lambda|/2$. Thus,

$$\begin{aligned} |z - \lambda_k| &\geq |\lambda_k - \lambda| - |\lambda - z| \geq \frac{1}{2}|\lambda - \lambda_k|, \\ |z - \lambda_k| &\leq |\lambda_k - \lambda| + |\lambda - z| \leq \frac{3}{2}|\lambda - \lambda_k|. \end{aligned}$$

It yields

$$\frac{1}{2} \leq \frac{|z - \lambda_k|}{|\lambda - \lambda_k|} \leq \frac{3}{2} < 2.$$

This relation implies the two-sided estimate valid for $z \in B(\lambda, \tau(\lambda))$:

$$\frac{1}{4}C(\lambda)|L(z)|^2 \leq \sum_{k=1}^{\infty} \frac{|L(z)|^2 K(\lambda_k)}{|L'(\lambda_k)|^2 |z - \lambda_k|^2} \leq 4C(\lambda)|L(z)|^2,$$

where $C(\lambda)$ stands for the number

$$C(\lambda) = \sum_{k=1}^{\infty} \frac{K(\lambda_k)}{|L'(\lambda_k)|^2 |\lambda - \lambda_k|^2}.$$

Thanks to relation (7) satisfied by the assumption of the theorem for function $L(\lambda)$, we get

$$\frac{1}{4P}C(\lambda)|L(z)|^2 \leq K(z) \leq 4PC(\lambda)|L(z)|^2, \quad z \in B(\lambda, \tau).$$

We find the logarithm of this relation:

$$|\ln K(z) - \ln(C(\lambda)|L(z)|^2)| \leq \ln(4P) < \ln(5P), \quad z \in B(\lambda, \tau).$$

Since by the assumption the circle $B(\lambda, 2\rho(\lambda))$ contains no zeroes of function L , function $u(z) = \ln(C(\lambda)|L(z)|^2)$ is harmonic in the circle $B(\lambda, \tau(\lambda))$ and is continuous in its closure. Then the latter estimate contradicts the definition of quantity $\tau(\lambda)$.

2. We fix two different numbers k, n . By relation (7), the function

$$F(\lambda) = \frac{\sqrt{K(\lambda_n)}}{L'(\lambda_n)(\lambda_n - \lambda)} L(\lambda)$$

satisfies the upper estimate

$$|F(\lambda)| \leq \sqrt{PK(\lambda)}.$$

And due to the definition of quantity $\tau(\lambda_k)$, in circle $B(\lambda_k, \tau(\lambda_k))$ there exists a harmonic function $u_k(\lambda)$ satisfying the estimate

$$|\ln K(\lambda) - u_k(\lambda)| \leq \ln(5P). \quad (9)$$

In particular,

$$\sqrt{K(\lambda)} \leq \sqrt{5P} e^{\frac{u_k(\lambda)}{2}}.$$

Let $g_k(\lambda)$ be a function analytic in the circle $B(\lambda_k, \tau(\lambda_k))$ such that $\operatorname{Re} g_k(\lambda) = u_k(\lambda)/2$. Then the function

$$f(z) = F(\tau(\lambda_k)z + \lambda_k) e^{-g_k(\tau(\lambda_k)z + \lambda_k)}$$

is analytic in the unit circle $B(0, 1)$ and satisfies the upper estimate

$$|f(z)| \leq \sqrt{5P},$$

and $f(0) = 0$. By Schwarz lemma, we have the upper estimate

$$|f(z)| \leq \sqrt{5P}|z|,$$

thus,

$$|f'(0)| \leq \sqrt{5P}.$$

Calculating $f'(0)$, we obtain

$$|F'(\lambda_k)| \leq \sqrt{5P} \frac{e^{\frac{u_k(\lambda_k)}{2}}}{\tau(\lambda_k)}.$$

Together with relation (9) it implies

$$|F'(\lambda_k)| \leq 5P^{\frac{3}{2}} \frac{\sqrt{K(\lambda_k)}}{\tau(\lambda_k)}.$$

We calculate the value of $F'(\lambda_k)$ by the definition to obtain

$$\frac{|L'(\lambda_k)|\sqrt{K(\lambda_n)}}{|L'(\lambda_n)||\lambda_k - \lambda_n|} \leq 5P^{\frac{3}{2}} \frac{\sqrt{K(\lambda_k)}}{\tau(\lambda_k)}.$$

Indices k, n are arbitrary and we can swap them:

$$\frac{|L'(\lambda_n)|\sqrt{K(\lambda_k)}}{|L'(\lambda_k)||\lambda_n - \lambda_k|} \leq 5P^{\frac{3}{2}} \frac{\sqrt{K(\lambda_n)}}{\tau(\lambda_n)}.$$

Multiplying two latter estimates, we get:

$$\frac{1}{|\lambda_k - \lambda_n|^2} \leq \frac{25P^3}{\tau(\lambda_k)\tau(\lambda_n)},$$

or

$$|\lambda_k - \lambda_n|^2 \geq \frac{\tau(\lambda_k)\tau(\lambda_n)}{25P^3}. \quad (10)$$

Let $\tau(\lambda_k) \geq \tau(\lambda_n)$ and assume that the inequality in Statement 2 is not valid, i.e.,

$$|\lambda_k - \lambda_n| < \frac{\tau(\lambda_k)}{10P^{\frac{3}{2}}}. \quad (11)$$

The circle

$$B' = \{|\lambda - \lambda_n| \leq \frac{10P^{\frac{3}{2}} - 1}{10P^{\frac{3}{2}}}\tau(\lambda_k)\}$$

lies in the circle $B(\lambda_k, \tau(\lambda_k))$ in which there exists a harmonic function $u_k(z)$ with the estimate

$$|\ln K(z) - u_k(z)| \leq \ln(5P).$$

Then

$$\tau(\lambda_n) \geq \frac{10P^{\frac{3}{2}} - 1}{10P^{\frac{3}{2}}}\tau(\lambda_k).$$

This estimate and (10) lead us to the inequality

$$|\lambda_k - \lambda_n|^2 \geq \frac{1}{25P^3}\tau(\lambda_k)\tau(\lambda_n) \geq \frac{1}{25P^3}\frac{10P^{\frac{3}{2}} - 1}{10P^{\frac{3}{2}}}\tau(\lambda_k)^2.$$

Since $P > 1$, then

$$\frac{10P^{\frac{3}{2}} - 1}{10P^{\frac{3}{2}}} > \frac{9}{10} > \frac{1}{4}$$

and

$$|\lambda_k - \lambda_n|^2 > \frac{1}{100P^3}\tau^2(\lambda_k)$$

or

$$|\lambda_k - \lambda_n| > \frac{1}{10P^{\frac{3}{2}}}\tau(\lambda_k)$$

that contradicts assumption (11).

3. We fix an index k . The right inequality in Statement 3 follows directly from the assumptions of the theorem. By the definition of $\tau(\lambda_k)$, in circle $B(\lambda_k, \tau(\lambda_k))$ there exists a harmonic function $u_k(\lambda)$ such that

$$-\ln(5P) \leq \ln K(\lambda) - u_k(\lambda) \leq \ln(5P). \quad (12)$$

By the assumptions of the theorem,

$$K(\lambda) \geq \frac{1}{P} \sum_{n=1}^{\infty} \frac{K(\lambda_n)|L(\lambda)|^2}{|L'(\lambda_n)|^2|\lambda - \lambda_n|^2} \geq \frac{K(\lambda_k)|L(\lambda)|^2}{P|L'(\lambda_k)|^2|\lambda - \lambda_k|^2}$$

or

$$\ln K(\lambda) \geq \ln \frac{K(\lambda_k)|L(\lambda)|^2}{|L'(\lambda_k)|^2|\lambda - \lambda_k|^2} - \ln P.$$

Therefore, for $\lambda \in B(\lambda_k, \tau(\lambda_k))$ we have

$$\ln \frac{K(\lambda_k)|L(\lambda)|^2}{|L'(\lambda_k)|^2|\lambda - \lambda_k|^2} - \ln P - u_k(\lambda) - \ln(5P) \leq 0,$$

i.e.,

$$u_k(\lambda) + 2\ln P + \ln 5 - \ln \frac{K(\lambda_k)|L(\lambda)|^2}{|L'(\lambda_k)|^2|\lambda - \lambda_k|^2} \geq 0.$$

By Statement 2, circle $B\left(\lambda_k, \frac{1}{10P^{\frac{3}{2}}}\tau(\lambda_k)\right)$ contains no zeroes of function $L(\lambda)$ except λ_k . Therefore, the function

$$v_k(\lambda) = -\ln \frac{K(\lambda_k)|L(\lambda)|^2}{|L'(\lambda_k)|^2|\lambda - \lambda_k|^2}$$

is harmonic in this circle. And the function $u_k(\lambda) + v_k(\lambda) + \ln(5P^2)$ is harmonic and non-negative in it. By Harnack inequality, in the circle $B\left(\lambda_k, \frac{\tau(\lambda_k)}{20P^{\frac{3}{2}}}\right)$, the estimate

$$u_k(\lambda) + v_k(\lambda) + \ln(5P^2) \leq 3(u_k(\lambda_k) + v_k(\lambda_k) + \ln(5P^2)) = 3(u_k(\lambda_k) - \ln K(\lambda_k) + \ln(5P^2))$$

holds true. By the left inequality in (12) we have $u_k(\lambda_k) \leq \ln K(\lambda_k) + \ln(5P)$ and therefore

$$u_k(\lambda) + v_k(\lambda) \leq 3\ln(5P) + 2\ln(5P^2) = \ln 5^5 P^7.$$

The right inequality in (12) implies $u_k(\lambda) \geq \ln K(\lambda) - \ln(5P)$ and hence,

$$-v_k(\lambda) \geq \ln K(\lambda) - \ln(5P) - \ln 5^5 P^7 = \ln K(\lambda) - \ln(5^6 P^8).$$

Thus,

$$\frac{K(\lambda_k)|L(\lambda)|^2}{|L'(\lambda_k)|^2|\lambda - \lambda_k|^2} \geq \frac{1}{5^6 P^8} K(\lambda).$$

The proof is complete. \square

Theorem 3. *Let λ_k , $k = 1, 2, \dots$, be the zeroes of function $L(\lambda)$ satisfying the assumption of the previous theorem. Then in each bounded set B containing at least two of points λ_k , $k = 1, 2, \dots$, there exists a point λ_n such that*

$$\sum_{\lambda_k \in B, k \neq n} \frac{1}{|\lambda_k - \lambda_n|^2} \leq \frac{(5P)^{12}}{\tau^2(\lambda_n)}. \quad (13)$$

Proof. By relation (7), for each λ the estimate

$$\sum_{\lambda_k \in B} \frac{K(\lambda_k)|L(\lambda)|^2}{|L'(\lambda_k)|^2|\lambda - \lambda_k|^2} \leq PK(\lambda) \quad (14)$$

holds true. There exists an index n such that

$$\frac{K(\lambda_n)}{|L'(\lambda_n)|^2} = \min_{\lambda_k \in B} \left(\frac{K(\lambda_k)}{|L'(\lambda_k)|^2} \right).$$

By Statement 3 of Theorem 2, for points λ lying on the boundary of circle $B\left(\lambda_n, \frac{1}{20P^{\frac{3}{2}}}\tau(\lambda_n)\right)$ the estimate

$$\frac{1}{5^6 P^8} K(\lambda) \leq 20^2 P^3 \frac{K(\lambda_n)|L(\lambda)|^2}{|L'(\lambda_n)|^2 \tau^2(\lambda_n)}$$

holds true or

$$\frac{K(\lambda)}{|L(\lambda)|^2} \leq 4^2 5^8 P^{11} \frac{K(\lambda_n)}{|L'(\lambda_n)|^2 \tau^2(\lambda_n)}.$$

Together with estimate (14) it implies

$$4^2 5^8 P^{11} \frac{K(\lambda_n)}{|L'(\lambda_n)|^2 \tau^2(\lambda_n)} \geq \frac{1}{P} \sum_{\lambda_k \in B} \frac{K(\lambda_k)}{|L'(\lambda_k)|^2 |\lambda - \lambda_k|^2}.$$

In view of the choice of index n , for points λ on the boundary of $B\left(\lambda_n, \frac{1}{20P^{\frac{3}{2}}}\tau(\lambda_n)\right)$ we have

$$4^2 5^8 P^{11} \frac{K(\lambda_n)}{|L'(\lambda_n)|^2 \tau^2(\lambda_n)} \geq \frac{1}{P} \frac{K(\lambda_n)}{|L'(\lambda_n)|^2} \sum_{\lambda_k \in B} \frac{1}{|\lambda - \lambda_k|^2}$$

or

$$\sum_{\lambda_k \in B} \frac{1}{|\lambda - \lambda_k|^2} \leq \frac{4^{25} 5^8 P^{12}}{\tau^2(\lambda_n)}. \quad (15)$$

By Statement 2 of Theorem 2, for the mentioned points λ with $k \neq n$ the estimate

$$|\lambda - \lambda_k| \leq |\lambda - \lambda_n| + |\lambda_n - \lambda_k| \leq \frac{3}{2} |\lambda_n - \lambda_k|$$

holds true. Therefore, it follows from (15) that

$$\sum_{\lambda_k \in B, k \neq n} \frac{1}{|\lambda_n - \lambda_k|^2} < \frac{(5P)^{12}}{\tau^2(\lambda_n)}.$$

The proof is complete. \square

On the basis of Theorem 3 one can show that the existence of Riesz basis of exponentials is rather an exception than a rule.

Theorem 4. *Let I be an arbitrary interval in \mathbb{R} , $h(t)$ be a convex function on this interval,*

$$K(\lambda) = \int_I e^{2\operatorname{Re} \lambda t - 2h(t)} dt, \quad J = \{x : K(x) < \infty\}.$$

Suppose that for some $p > 0$ there exists a sequence of segments $[a_m; b_m]$ and positive numbers τ_m , $m = 1, 2, \dots$, such that

1) for some positive number δ and for each $x \in [a_m; b_m]$

$$\delta \tau_m \leq \tau(\ln K(z), x, p) \leq \tau_m, \quad m = 1, 2, \dots,$$

2) the relation

$$\lim_{m \rightarrow \infty} \frac{b_m - a_m}{\tau_m} = \infty$$

holds true.

Then there is no Riesz basis of exponentials in space $L^2(I, \exp h)$.

Proof. First of all we observe that if the assumptions of the theorem hold true for some p , by Statement 2 of Lemma 1, these assumptions hold true for each $p > 0$.

Suppose that the system $e^{\lambda_k t}$ forms a Riesz basis in space $L^2(I, \exp h)$. By Theorem 1, there exists an entire function with simple zeroes at points λ_k obeying relation (7). In what follows we assume that in the assumption of Theorem 4, as p we choose the number $\ln(5P)$, where P is the constant in relation (7). For the sake of brief notation, we denote $\tau(\ln K(z), \lambda, \ln(5P))$ by $\tau(\lambda)$. By Theorem 3, the set of points λ_k possesses property (13). We choose an arbitrary index m . Let

$$\tau_m = \sup_{\lambda \in [a_m, b_m]} (\tau(\lambda)),$$

s_m be the maximal natural number such that

$$a_m + 4s_m \tau_m \leq b_m.$$

Then

$$a_m + 4(s_m + 1)\tau_m \geq b_m - a_m,$$

therefore,

$$\lim_{m \rightarrow \infty} \frac{(a_m + s_m \tau_m) - a_m}{\tau_m} = \infty.$$

To simplify the notations, in what follows we suppose that $a_m + s_m\tau_m = b_m$. For a fixed index m , we consider the system P formed by square with side $4\tau_m$:

$$P_{ql} = \{z : a_m + 2l\tau_m \leq \operatorname{Re} z \leq a_m + 2(l+1)\tau_m, \quad 2q\tau_m \leq \operatorname{Im} z \leq 2(q+1)\tau_m\},$$

$$l = 0, 1, \dots, s_m - 1, \quad q \in \mathbb{Z}.$$

Two squares in these system will be called adjacent if they have a common vertex. Let Q_1, Q_2 be two non-adjacent squares in this system and $z_1, w_1 \in Q_1, z_2, w_2 \in Q_2$. Then

$$|z_1 - z_2| \leq 4|w_1 - w_2|. \quad (16)$$

Indeed, since the squares are not adjacent, it follows that $|w_1 - w_2| \geq 4\Delta\tau_m$ or

$$\tau_m \leq \frac{1}{4}|w_1 - w_2|.$$

Therefore,

$$|z_1 - z_2| \leq |z_1 - w_1| + |w_1 - w_2| + |w_2 - z_2| \leq 8\sqrt{2}\tau_m + |w_2 - z_2| \leq 4|w_2 - z_2|.$$

We denote the center of the square P_{ql} by ζ_{ql} . Each square P_{ql} contains the circle $B(\zeta_{ql}, 2\tau_m)$, which, in its turn, under the assumption of the theorem, contains the circle $B(\zeta_{ql}, 2\tau(\zeta_{ql}))$. By Statement 1 of Theorem 2, this circle contains at least one of the exponents λ_k . We take a sufficiently great N and by B_N we denote the union of squares P_{ql} over all q and $l, |l| \leq N$. We apply Theorem 5 to system λ_k and to set B_N . There exists an index n such that the relation

$$\sum_{\lambda_k \in B_N, k \neq n} \frac{1}{|\lambda_n - \lambda_k|^2} < \frac{(5P)^{12}}{\tau^2(\lambda_n)}$$

holds true. By Condition 1) of the theorem it implies the estimate

$$\sum_{\lambda_k \in B_N, k \neq n} \frac{1}{|\lambda_n - \lambda_k|^2} < \frac{(5P)^{12}}{\delta^2\tau_m^2}. \quad (17)$$

Let Q_0 be a square in system P containing point λ_n , and point λ_k lies in square Q (in our system) not adjacent with Q_0 . We take arbitrary point $\lambda \in Q$ and employ relation (16):

$$|\lambda_k - \lambda_n| \leq 4|\lambda - \lambda_n|$$

or

$$\frac{1}{16|\lambda - \lambda_n|^2} \leq \frac{1}{|\lambda_k - \lambda_n|^2}.$$

We integrate this inequality w.r.t. λ over square Q :

$$\frac{1}{16\tau_m^2} \int_Q \frac{1}{16|\lambda - \lambda_n|^2} dv(\lambda) \leq \frac{1}{|\lambda_k - \lambda_n|^2}.$$

By B'_N we denote set B_N without the squares adjacent with Q_0 . Since each of the squares contains at least one point in the system of exponents, the latter inequality and relation (23) yield

$$\int_{B'_N} \frac{1}{|\lambda - \lambda_n|^2} dv(\lambda) \leq \frac{256(5P)^{12}}{\delta^2}.$$

Let $Q_0 = P_{sj}$ and for the definiteness we assume that $j < 0, s \leq \frac{s_m}{2}$ and we let

$$\tilde{B}_N = \{\lambda : a_m + (s+2)\tau_m \leq \operatorname{Re} \lambda \leq b_m, (j+2)\tau_m \leq \operatorname{Im} \lambda \leq (N+1)\tau_m\}.$$

Then $\tilde{B}_N \subset B'_N$ and hence, the inequality

$$\int_{\tilde{B}_N} \frac{1}{|\lambda - \lambda_n|^2} dv(\lambda) \leq \frac{256(5P)^{12}}{\delta^2}$$

holds true. We employ the change of variables $\lambda - (a_m + (s + 2)\tau_m + i(j + 2)\tau_m) = \tau_m w$ and by w_0 we denote the image of point λ_n under this change:

$$\int_0^{s_m - s - 1} \int_0^{N+1} \frac{1}{|w - w_0|^2} dv(\lambda) \leq \frac{256(5P)^{12}}{\delta^2}.$$

At that, for point w_0 we have $-2 \leq \operatorname{Re} w_0$, $\operatorname{Im} w_0 \leq -1$. Therefore, we can assume that $w_0 = -2 - 2i$, it lessens the left hand side in the latter inequality and the inequality is kept. Indices m, N have influence only on the integration limits, this is why in the latter inequality we can pass to the limit as $m, N \rightarrow \infty$. Since

$$s_m = \frac{b_m - a_m}{\tau_m} \rightarrow \infty,$$

and we assume that $s \leq \frac{s_m}{2}$, then $s_m - s - 2 \geq \frac{s_m}{2} - 2 \rightarrow \infty$ and we obtain

$$\int_0^\infty \int_0^\infty \frac{1}{(x+2)^2 + (y+2)^2} dx dy \leq \frac{256(5P)^{12}}{\delta^2}.$$

The integral in the left hand side diverges and we arrive at the contradiction. The proof is complete. \square

This theorem requires to calculate function $K(x)$ that is not always simple. It happens that it is sufficient to calculate function \tilde{h} .

Theorem 4 (a). *Let I be an arbitrary interval in \mathbb{R} , $h(t)$ be a convex function on this interval,*

$$\tilde{h}(x) = \sup_{t \in I} (xt - h(t)).$$

Suppose that for some $p > 0$ there exist a sequence of segments $[a_m; b_m]$ and positive numbers $t_m, m = 1, 2, \dots$, such that

1) *for some positive number δ and for each $x \in [a_m; b_m]$*

$$\delta t_m \leq \tau(2\tilde{h}, x, p) \leq t_m, \quad m = 1, 2, \dots,$$

2) *the relation*

$$\lim_{m \rightarrow \infty} \frac{b_m - a_m}{t_m} = \infty$$

holds true.

Then there is Riesz basis of exponentials in space $L^2(I, \exp h)$.

Proof. In accordance with the results of works [3], [9], [10], for some constants $c, C > 0$ depending only on number p the relation

$$c \frac{e^{2\tilde{h}(x)}}{\rho_1(2\tilde{h}, x, p)} \leq K(x) \leq C \frac{e^{2\tilde{h}(x)}}{\rho_1(2\tilde{h}, x, p)}$$

holds true. It follows that for some other constants $c, C > 0$ the estimate

$$c \frac{e^{2\tilde{h}(x)}}{\tau(2\tilde{h}, x, p)} \leq K(x) \leq C \frac{e^{2\tilde{h}(x)}}{\tau(2\tilde{h}, x, p)}$$

holds true. Under the assumptions of the theorem we get

$$c \leq K(x) e^{-2\tilde{h}(x) t_m} \leq \frac{C}{\delta}.$$

We let $C' = \max(|\ln c|, |\ln C - \ln \delta|)$. Then

$$|\ln K(x) - (2\tilde{h}(x) - \ln t_m)| \leq C', \quad x \in [a_m; b_m].$$

It is obvious that $\tau(2\tilde{h}, x, p) = \tau(2\tilde{h} - t_m, x, p)$. Let $a'_m = a_m + t_m$, $b'_m = b_m - t_m$. In the interval $[a'_m; b'_m]$, we apply Statement 4 of Lemma 1 to the functions $u_1(x) = \ln K(x)$, $u_2(x) = 2\tilde{h}(x) - \ln t_m$. Then under the assumptions of the theorem

$$\frac{\delta p}{p + C'} t_m \leq \frac{p}{p + C'} \tau_2(x) \leq \tau_1(x) \leq \frac{p + C'}{p} \tau_2(x) \leq \frac{p + C'}{p} \tau_m.$$

We let $t'_m = \frac{p+C'}{p} t_m$, $\delta' = \frac{\delta p^2}{(p+C')^2}$. The latter inequalities imply

$$\delta' t'_m \leq \tau(\ln K, x, p) \leq t'_m, \quad x \in [a'_m; b'_m],$$

and

$$\lim_{m \rightarrow \infty} \frac{b'_m - a'_m}{t'_m} = \infty.$$

Thus, the assumptions of Theorems 4 are satisfied and the proof of Theorem 4(a) is complete. \square

In the formulation of the latter theorem we have employed the quantity $\tau(\lambda)$, which is not always easy to calculate. Let us prove a lemma simplifying the calculation of quantity $\tau(\lambda)$ for particular examples.

Lemma 2. *Let $u(x)$ be a twice differentiable convex function on some interval $I \subset \mathbb{R}$. Suppose that for some point $y \in I$ and some constants $A, B, C > 0$ the relation*

$$A \leq \frac{u''(x)}{u''(y)} \leq B, \quad \text{as } |x - y| \leq C \sqrt{\frac{1}{u''(y)}}$$

holds true. Then

$$\min\left(C, \frac{p}{BC}\right) \sqrt{\frac{1}{u''(y)}} \leq \tau(u, y, p) \leq 32 \max\left(C, \frac{p}{AC}\right) \sqrt{\frac{1}{u''(y)}}.$$

Proof. Since

$$u'(x) - u'(y) = u''(x^*)(x - y),$$

where x^* is a point between x, y , under the assumptions of the theorem we have

$$Au''(y)|x - y| \leq |u'(x) - u'(y)| \leq Bu''(y)|x - y|, \quad \text{if } |x - y| \leq C \sqrt{\frac{1}{u''(y)}}.$$

Therefore, for each $r \in [0; C\sqrt{1/u''(y)}]$ we have

$$\begin{aligned} \int_{y-r}^{y+r} |u'(x) - u'(y)| &\leq Bu''(y) \int_{y-r}^{y+r} |x - y| = Bu''(y)r^2 \leq BC^2, \\ \int_{y-r}^{y+r} |u'(x) - u'(y)| &\geq Au''(y) \int_{y-r}^{y+r} |x - y| = Au''(y)r^2. \end{aligned}$$

The first inequality imply the estimate

$$\rho_2(u, y, BC^2) \geq C \sqrt{\frac{1}{u''(y)}}.$$

We observe that

$$\rho_2(u, y, p) \geq \begin{cases} C \sqrt{\frac{1}{u''(y)}}, & \text{if } p \geq BC^2, \\ \frac{p}{BC} \sqrt{\frac{1}{u''(y)}}, & \text{if } p \leq BC^2. \end{cases}$$

Hence,

$$\rho_2(u, y, p) \geq \min\left(C, \frac{p}{BC}\right) \sqrt{\frac{1}{u''(y)}}. \quad (18)$$

On the other hand, as $r = C\sqrt{1/u''(y)}$, we have

$$\int_{y-r}^{y+r} |u'(x) - u'(y)| dx \geq Au''(y)r^2 = AC^2,$$

and this is why

$$\rho_2(u, y, AC^2) \leq C\sqrt{\frac{1}{u''(y)}}.$$

We observe that

$$\rho_2(u, y, p) \leq \begin{cases} C\sqrt{\frac{1}{u''(y)}}, & \text{if } p \leq AC^2, \\ \frac{p}{AC}\sqrt{\frac{1}{u''(y)}}, & \text{if } p \geq AC^2. \end{cases} \quad (19)$$

Thus,

$$\rho_2(u, y, p) \leq \max\left(C, \frac{p}{AC}\right) \sqrt{\frac{1}{u''(y)}}.$$

Together with estimate (18) it yields

$$\begin{aligned} \min\left(C, \frac{p}{BC}\right) \sqrt{\frac{1}{u''(y)}} &\leq \rho_2(u, y, p) \leq \rho_2(u, y, 2p) = \rho(u, y, p), \\ \rho(u, y, p) = \rho_2(u, y, 2p) &\leq 2\rho_2(u, y, p) \leq 2\max\left(C, \frac{p}{AC}\right) \sqrt{\frac{1}{u''(y)}}. \end{aligned}$$

Then we employ Statement 1 of Lemma 1 and arrive at the statement of Lemma 2. The proof is complete. \square

Now we are in position to formulate a useful particular case of Theorem 4(a).

Theorem 4 (b). *Let I be an arbitrary interval on \mathbb{R} , $h(t)$ be a convex function on this interval,*

$$\tilde{h}(x) = \sup_{t \in I} (xt - h(t)).$$

Suppose that for some $p > 0$ there exists a sequence of segments $[a_m; b_m]$ and positive numbers t_m , $m = 1, 2, \dots$, such that

1) *for some positive number δ and each $x \in [a_m; b_m]$*

$$\delta t_m \leq \sqrt{\frac{1}{\tilde{h}''(x)}} \leq t_m, \quad m = 1, 2, \dots,$$

2) *the relation*

$$\lim_{m \rightarrow \infty} \frac{b_m - a_m}{t_m} = \infty$$

holds true.

Then there is not Riesz basis of exponentials in space $L^2(I, \exp h)$.

Examples.

1. Let $I = \mathbb{R}$ and $h(t) = A|t|^\alpha$, where $\alpha \geq 1$.

1a. If $\alpha > 1$, then

$$\tilde{h}(x) = \left(1 - \frac{1}{\alpha}\right) \left(\frac{1}{A\alpha}\right)^{\frac{1}{\alpha-1}} |x|^{\frac{\alpha}{\alpha-1}}, \quad x \in \mathbb{R},$$

i.e., the Young conjugate reads as $B|x|^\beta$, where $\beta > 1$ is determined by the restriction $\frac{1}{\alpha} + \frac{1}{\beta} = 1$. Then for $x \neq 0$

$$\sqrt{\frac{1}{\tilde{h}''(x)}} = \sqrt{\frac{1}{B\beta(\beta-1)}} |x|^{-\frac{\beta}{2}+1},$$

and Condition 1 of Theorem 4(b) is satisfied, for instance, for the sequence of segments $[n; 2n]$. Thus, there are no Riesz bases of exponentials in spaces $L^2(\mathbb{R}, e^{A|t|^\alpha})$.

1b. If $\alpha = 1$, i.e., $h(t) = A|t|$, then

$$\tilde{h}(x) = \begin{cases} 0, & |x| \leq A, \\ +\infty, & |x| > A, \end{cases}$$

and $\rho(\tilde{h}, x, 1) = 1 - |x|$. Therefore, the assumption of Theorem 4() can not be satisfied and we can not state the existence of Riesz bases in space $L^2(\mathbb{R}, e^{A|t|})$ on the basis of Theorem 4.

2. Let $I = [-1; 1]$ and $h(t) = \frac{A}{(1-|t|)^\alpha}$, where $A > 0$, $\alpha > 0$. Then

$$\tilde{h}(x) = |x| - B|x|^{\frac{\alpha}{\alpha+1}}, \quad B = \frac{A(\alpha+1)}{(A\alpha)^{\frac{\alpha}{\alpha+1}}},$$

and

$$\sqrt{\frac{1}{\tilde{h}''(x)}} = \frac{\sqrt{\alpha}}{\sqrt{B(\alpha+1)}} |x|^{\frac{\alpha-2}{2(\alpha+1)}}$$

and again Condition 1 of Theorem 4(b) holds true, for instance, for the sequence of segments $[n; 2n]$. Thus, there are no Riesz bases of exponentials in spaces $L^2(\mathbb{R}, \exp \frac{A}{(1-|t|)^\alpha})$.

2a. We take $A = 0$ in Example 2. Then

$$h(t) = 0, \quad |t| \leq 1,$$

i.e., $L^2(I, e^{h(t)}) = L^2[-1; 1]$ and

$$\tilde{h}(x) = |x|, \quad x \in \mathbb{R}.$$

Therefore,

$$\rho(\tilde{h}, x, 1) \geq |x| + 1.$$

Suppose that there exists a sequence of segments $[a_m; b_m]$ satisfying the assumptions of Theorem 4. Suppose that $b_m > 0$, then for sufficiently large m

$$b_m - a_m \geq 2\tau_m \geq 2\rho(\tilde{h}, b_m, 1) \geq 2b_m + 2,$$

and thus,

$$a_m \leq -b_m - 2 < 0$$

and $0 \in [a_m; b_m]$. Then the estimate $\rho(\tilde{h}, 0, 1) \geq \delta\tau_m$ should be satisfied. Since $\rho(\tilde{h}, 0, 1) = 1$, then $\delta \leq \frac{1}{\tau_m}$. However, $\tau_m \rightarrow \infty$ as $m \rightarrow \infty$, thus, $\delta = 0$. We obtain the contradiction and Theorem 4 is not applicable in the present case.

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